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10 BEFORE THE DEPARTMENT OF FINANCIAL PROTECTION AND INNOVATION  
11 OF THE STATE OF CALIFORNIA

12 In the Matter of:

CRD NO. 160862

13 THE COMMISSIONER OF FINANCIAL  
14 PROTECTION AND INNOVATION,

ORDER SUMMARILY SUSPENDING  
INVESTMENT ADVISER CERTIFICATE

15 Complainant,

(Corp. Code, § 25242, subd. (c)(2))

16 v.

17 MACIAN WEALTH MANAGEMENT, LLC,

18 Respondent.

19  
20 TO: Jadine Wong-Hodgen, Manager and Principal  
21 Macian Wealth Management, LLC  
22 888 Prospect Street, Suite 200  
La Jolla, California 92037

23 Manuel P. Alvarez, Commissioner of the Department of Financial Protection and Innovation

24 (Commissioner) finds that:

25 1. Macian Wealth Management, LLC (Macian) has or had its principal place of business  
26 located at 888 Prospect Street, Suite 200, La Jolla, California. Macian is a California limited liability  
27 company not currently authorized to conduct business in California.

28 2. Macian holds a valid and unrevoked investment adviser certificate issued to it by the

1 Commissioner under Corporations Code section 25230.

2 3. Corporations Code section 25241 requires every investment adviser licensed by the  
3 Commissioner to maintain books and records; file reports as the Commissioner by regulation  
4 requires; and submit to examination by the Commissioner, as the Commissioner deems necessary or  
5 appropriate in the public interest or for the protection of investors.

6 4. On February 3, 2021, in order to respond to a former Macian customer’s complaint,  
7 the Commissioner’s staff made a written demand to Macian. The Commissioner’s demand required  
8 Macian to respond by February 16. Macian failed to respond to the written demand by the February  
9 16 deadline. The demand was served on Macian by certified mail at the licensee’s principal place of  
10 business.

11 5. The Commissioner also attempted to email Macian the written demand on February  
12 3. But the Commissioner’s email, which was sent to the licensee’s email addresses on file with the  
13 Commissioner, was returned as “undeliverable.”

14 6. To date, Macian has failed to respond to the Commissioner’s written demand.

15 NOW THEREFORE, GOOD CAUSE SHOWING, it is hereby ORDERED under  
16 Corporations Code section 25242, subdivision (c)(2), that the investment adviser certificate of  
17 Macian Wealth Management, LLC, is summarily suspended for its violation of section 25241. This  
18 suspension precludes Macian Wealth Management, LLC from engaging in normal investment  
19 adviser activities that solicit or facilitate new business, including the solicitation or facilitation of  
20 new business with the firm’s existing clients.

21 This suspension does not preclude Macian Wealth Management, LLC from engaging in  
22 activities necessary to the winding down of the business such as liquidating transactions, transferring  
23 accounts, forwarding any checks received to clearing firms, responding to regulatory inquiries, and  
24 otherwise fielding customer inquiries for account servicing or document requests, among other  
25 things.

26 Under the provisions of Corporations Code section 25244, Macian Wealth Management,  
27 LLC is further ordered to submit its original investment adviser certificate to the Commissioner.

28 This order is effective immediately.

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This order is necessary, in the public interest, for the protection of investors and consistent with the purposes, policies, and provisions of the Corporate Securities Law of 1968.

MANUEL P. ALVAREZ  
Commissioner of Financial Protection &  
Innovation

Dated: February 22, 2021  
Los Angeles, California

By \_\_\_\_\_  
MARY ANN SMITH  
Deputy Commissioner  
Enforcement Division

