

Schedule T - Fiduciary and Related Services
(Trust Companies)
As of December 31, 2020

	(Column A) Managed Assets (000s)	(Column B) Non-Managed Assets (000s)	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	6,526,427	2,288,448	1,693	548	4.
5. Employee benefit and retirement- related trust and agency accounts:					5.
a. Employee benefit - defined contribution..	64,937	122,463	33	32	5.a.
b. Employee benefit - defined benefit.....	13,803	659,682	5	0	5.b.
c. Other employee benefit and retirement-related accounts.....	521,216	1,453,408	706	167	5.c.
6. Corporate trust and agency accounts.....	0	1	0	1	6.
7. Investment management and investment advisory agency accounts.....	7,421,166	645,800	1,685	120	7.
8. Foundation and endowment trust and agency accounts.....	1,156,000	190,893	172	15	8.
9. Other fiduciary accounts.....	0	1,278	0	3	9.
10. Total fiduciary accounts (sum of items 4 through 9).....	15,703,549	5,361,973	4,294	886	10.
11. Custody and safekeeping accounts.....	0	16,683,506	0	264	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11).....					13.

Schedule T - Fiduciary and Related Services - Continued (Trust Companies) As of December 31, 2020

Memoranda

	(Column A) Personal Trust and Agency and Investment Management Agency Accounts	(Column B) Employee Benefit and Retirement- Related Trust and Agency Accounts	(Column C) All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	-21,090	554	190	M.1.a.
b. Interest-bearing deposits.....	121,465	8,635	2,663	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	198,846	5,439	22,421	M.1.c.
d. State, county and municipal obligations.....	632,673	18,335	20,966	M.1.d.
e. Money market mutual funds.....	1,079,962	29,427	48,326	M.1.e.
f. Equity mutual funds.....	939,797	114,155	174,405	M.1.f.
g. Other mutual funds.....	556,332	46,043	53,225	M.1.g.
h. Common trust funds and collective investment funds.....	119	0	0	M.1.h.
i. Other short-term obligations.....	173	0	0	M.1.i.
j. Other notes and bonds.....	82,577	5,738	17,389	M.1.j.
k. Investments in unregistered funds and private equity investments.....	42,915	0	0	M.1.k.
l. Other common and preferred stocks.....	6,735,977	353,841	519,801	M.1.l.
m. Real estate mortgages.....	131,258	96	0	M.1.m.
n. Real estate.....	1,273,752	955	15,849	M.1.n.
o. Miscellaneous assets.....	2,163,698	14,846	280,173	M.1.o.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o).....	13,938,454	598,064	1,155,408	M.1.p.
		(Column A) Managed Assets	(Column B) Number of Managed Assets	
q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....		425,390	628	M.1.q.

Schedule T - Fiduciary and Related Services - Continued (Trust Companies) As of December 31, 2020

Memoranda (continued)

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....	0	0	M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....	0	0	M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency...	0		M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....	0	0	M.3.a
b. International/Global equity.....	0	0	M.3.b.
c. Stock/Bond blend.....	0	0	M.3.c.
d. Taxable bond.....	0	0	M.3.d.
e. Municipal bond	0	0	M.3.e.
f. Short term investments/Money market.....	0	0	M.3.f.
g. Specialty/Other.....	0	0	M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....	0	0	M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	105,348	76,983,932	P.1.
P.2. Less: real estate.....	15,569		P.2.
P.3. Trust business on which security is required.....	89,779	76,983,932	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	1,750	650	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	1,966	2,266	P.5.
P.6. Excess or deficiency; denote negative amount in ().....	216	1,616	P.6.

Schedule T - Fiduciary and Related Services - Continued (Trust Companies) As of December 31, 2020

INCOME

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	43,658	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution.....	748	15.a.
b. Employee benefit-defined benefit.....	414	15.b.
c. Other employee benefit and retirement-related accounts.....	4,664	15.c.
16. Corporate trust and agency accounts.....	5	16.
17. Investment management and investment advisory agency accounts.....	40,949	17.
18. Foundation and endowment trust and agency accounts.....	8,382	18.
19. Other fiduciary accounts.....	1	19.
20. Custody and safekeeping accounts.....	2,260	20.
21. Other fiduciary and related services income.....	7,863	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21).....	108,944	22.
23. Less: Expenses.....	85,765	23.
24. Less: Net losses from fiduciary and related services.....	572	24.
25. Plus: Intracompany income credits for fiduciary and related services.....	0	25.
26. Net fiduciary and related services income.....	22,607	26.

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	22	0	0	M.4.a.
b. Employee benefit and retirement-related trust and agency accounts.....	0	0	0	M.4.b.
c. Investment management and investment advisory agency accounts.....	550	0	0	M.4.c.
d. Other fiduciary accounts and related services.....	0	0	0	M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....				M.4.e.