

STATE OF CALIFORNIA  
BUSINESS, CONSUMER SERVICES AND HOUSING AGENCY  
DEPARTMENT OF FINANCIAL PROTECTION AND INNOVATION

CRD No. 297799

Re: SERIESONE SECURITIES, LLC

ORDER SUMMARILY  
REVOKING CERTIFICATE

IN ACCORDANCE WITH SECTION 25242(c)(1) OF THE CORPORATE SECURITIES LAW OF 1968, the Broker-Dealer Certificate issued to the above-named broker-dealer is hereby summarily revoked for non-payment of the renewal fees required by Section 25608(o)(3) of the Corporate Securities Law of 1968.

Dated: January 21, 2021  
Sacramento, California

**MANUEL P. ALVAREZ**  
*Commissioner of Financial Protection  
and Innovation*

By \_\_\_\_\_  
**Balbiro Kazla**  
*Deputy Commissioner*