

Schedule T - Fiduciary and Related Services
(Trust Departments)
As of March 31, 2021

	(Column A) Managed Assets (000s)	(Column B) Non-Managed Assets (000s)	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	10,343,486	946,625	4,073	195	4.
5. Employee benefit and retirement- related trust and agency accounts:					5.
a. Employee benefit - defined contribution...	299,505	726,666	102	105	5.a.
b. Employee benefit - defined benefit.....	206,224	73,467	12	3	5.b.
c. Other employee benefit and retirement-related accounts.....	790,949	212,255	1,192	116	5.c.
6. Corporate trust and agency accounts.....	0	0	0	0	6.
7. Investment management and investment advisory agency accounts.....	4,367,685	23,719	1,973	5	7.
8. Foundation and endowment trust and agency accounts.....	570,963	15,801	296	4	8.
9. Other fiduciary accounts.....	827	3,230	2	3	9.
10. Total fiduciary accounts (sum of items 4 through 9).....	16,579,639	2,001,763	7,650	431	10.
11. Custody and safekeeping accounts.....	0	28,086,856	0	44,172	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11).....					13.

Schedule T - Fiduciary and Related Services - Continued (Trust Departments) As of March 31, 2021

Memoranda

	(Column A) Personal Trust and Agency and Investment Management Agency Accounts	(Column B) Employee Benefit and Retirement- Related Trust and Agency Accounts	(Column C) All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	749	14	63	M.1.a.
b. Interest-bearing deposits.....	173,724	10,499	8,025	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	417,894	24,834	9,252	M.1.c.
d. State, county and municipal obligations.....	1,832,220	17,457	11,697	M.1.d.
e. Money market mutual funds.....	762,477	49,115	31,193	M.1.e.
f. Equity mutual funds.....	1,941,074	487,513	160,848	M.1.f.
g. Other mutual funds.....	1,583,910	265,843	119,697	M.1.g.
h. Common trust funds and collective investment funds.....	0	0	0	M.1.h.
i. Other short-term obligations.....	5,083	0	0	M.1.i.
j. Other notes and bonds.....	575,601	82,019	24,744	M.1.j.
k. Investments in unregistered funds and private equity investments.....	439,440	3,000	0	M.1.k.
l. Other common and preferred stocks.....	4,394,545	355,947	206,080	M.1.l.
m. Real estate mortgages.....	145,846	9	0	M.1.m.
n. Real estate.....	919,236	203	1	M.1.n.
o. Miscellaneous assets.....	1,519,370	223	190	M.1.o.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o).....	14,711,169	1,296,676	571,790	M.1.p.
		(Column A) Managed Assets	(Column B) Number of Managed Assets	
q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....		0	0	M.1.q.

Schedule T - Fiduciary and Related Services - Continued (Trust Departments) As of March 31, 2021

Memoranda (continued)

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....	0	0	M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....	0	0	M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency...	0		M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....	0	0	M.3.a.
b. International/Global equity.....	0	0	M.3.b.
c. Stock/Bond blend.....	0	0	M.3.c.
d. Taxable bond.....	0	0	M.3.d.
e. Municipal bond	0	0	M.3.e.
f. Short term investments/Money market.....	0	0	M.3.f.
g. Specialty/Other.....	0	0	M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....	0	0	M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	550,524	26,745,122	P.1.
P.2. Less: real estate.....	66,897		P.2.
P.3. Trust business on which security is required.....	483,627	26,745,122	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	4,000	1,200	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	7,740	4,573	P.5.
P.6. Excess or deficiency; denote negative amount in ().....	3,740	3,373	P.6.

Schedule T - Fiduciary and Related Services - Continued (Trust Departments) As of March 31, 2021

INCOME

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	13,955	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution.....	851	15.a.
b. Employee benefit-defined benefit.....	199	15.b.
c. Other employee benefit and retirement-related accounts.....	1,534	15.c.
16. Corporate trust and agency accounts.....	75	16.
17. Investment management and investment advisory agency accounts.....	6,139	17.
18. Foundation and endowment trust and agency accounts.....	890	18.
19. Other fiduciary accounts.....	6	19.
20. Custody and safekeeping accounts.....	8,611	20.
21. Other fiduciary and related services income.....	88	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21).....	32,348	22.
23. Less: Expenses.....	28,532	23.
24. Less: Net losses from fiduciary and related services.....	133	24.
25. Plus: Intracompany income credits for fiduciary and related services.....	2,442	25.
26. Net fiduciary and related services income.....	6,125	26.

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	55	0	19	M.4.a.
b. Employee benefit and retirement-related trust and agency accounts.....	1	0	0	M.4.b.
c. Investment management and investment advisory agency accounts.....	1	0	0	M.4.c.
d. Other fiduciary accounts and related services.....	24	72	0	M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....				M.4.e.