

**Schedule T - Fiduciary and Related Services**  
**(Trust Companies)**  
**As of March 31, 2021**

	(Column A) Managed Assets (000s)	(Column B) Non-Managed Assets (000s)	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
<b>FIDUCIARY AND RELATED ASSETS</b>					
4. Personal trust and agency accounts.....	6,821,827	2,366,284	1,735	576	
5. Employee benefit and retirement- related trust and agency accounts:					5.
a. Employee benefit - defined contribution..	67,976	124,352	32	32	
b. Employee benefit - defined benefit.....	13,895	653,906	5	10	
c. Other employee benefit and retirement-related accounts.....	547,208	1,370,122	726	173	
6. Corporate trust and agency accounts.....	0	1	0	1	6.
7. Investment management and investment advisory agency accounts.....	7,695,357	666,275	1,733	120	
8. Foundation and endowment trust and agency accounts.....	1,183,298	203,864	169	15	8.
9. Other fiduciary accounts.....	0	1,263	0	3	9.
10. Total fiduciary accounts (sum of items 4 through 9).....	16,329,561	5,386,067	4,400	930	10.
11. Custody and safekeeping accounts.....	0	17,356,783	0	332	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11).....					

## Schedule T - Fiduciary and Related Services - Continued (Trust Companies) As of March 31, 2021

Memoranda

	(Column A) Personal Trust and Agency and Investment Management Agency Accounts	(Column B) Employee Benefit and Retirement- Related Trust and Agency Accounts	(Column C)  All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	-36,987	411	187	M.1.a.
b. Interest-bearing deposits.....	115,669	7,904	2,201	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	169,469	3,400	20,632	M.1.c.
d. State, county and municipal obligations.....	661,890	17,764	20,173	M.1.d.
e. Money market mutual funds.....	1,011,381	24,996	35,747	M.1.e.
f. Equity mutual funds.....	1,034,810	121,987	187,158	M.1.f.
g. Other mutual funds.....	590,894	48,644	55,185	M.1.g.
h. Common trust funds and collective investment funds.....	117	0	0	
i. Other short-term obligations.....	45	0	0	
j. Other notes and bonds.....	85,760	5,333	17,428	
k. Investments in unregistered funds and private equity investments.....	42,320	0	0	
l. Other common and preferred stocks.....	7,225,595	379,529	545,706	
m. Real estate mortgages.....	110,680	93	0	
n. Real estate.....	1,370,594	943	15,987	
o. Miscellaneous assets.....	2,275,409	15,951	282,228	
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o).....	14,657,646	626,955	1,182,632	
		(Column A) Managed Assets	(Column B) Number of Managed Assets	
q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....		448,913	634	

## Schedule T - Fiduciary and Related Services - Continued (Trust Companies) As of March 31, 2021

Memoranda (continued)

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....	0	0	M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....	0	0	M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency...	0		M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....	0	0	M.3.a
b. International/Global equity.....	0	0	M.3.b.
c. Stock/Bond blend.....	0	0	M.3.c.
d. Taxable bond.....	0	0	M.3.d.
e. Municipal bond .....	0	0	M.3.e.
f. Short term investments/Money market.....	0	0	M.3.f.
g. Specialty/Other.....	0	0	M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....	0	0	M.3.h.

**P. Trust Business for Which Securities Are on Deposit with the State Treasurer**

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	110,895	38,943,516	P.1.
P.2. Less: real estate.....	15,569		P.2.
P.3. Trust business on which security is required.....	95,326	38,943,516	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	1,750	650	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	1,946	1,364	P.5.
P.6. Excess or deficiency; denote negative amount in ( ).....	196	714	P.6.

## Schedule T - Fiduciary and Related Services - Continued (Trust Companies) As of March 31, 2021

### INCOME

<b>FIDUCIARY AND RELATED SERVICES INCOME</b>	(000s)	
14. Personal trust and agency accounts.....	12,121	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution.....	211	15.a.
b. Employee benefit-defined benefit.....	117	15.b.
c. Other employee benefit and retirement-related accounts.....	1,364	15.c.
16. Corporate trust and agency accounts.....	0	16.
17. Investment management and investment advisory agency accounts.....	11,890	17.
18. Foundation and endowment trust and agency accounts.....	2,423	18.
19. Other fiduciary accounts.....	1	19.
20. Custody and safekeeping accounts.....	599	20.
21. Other fiduciary and related services income.....	2,151	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21).....	30,877	22.
23. Less: Expenses.....	23,010	23.
24. Less: Net losses from fiduciary and related services.....	9	24.
25. Plus: Intracompany income credits for fiduciary and related services.....	0	25.
26. Net fiduciary and related services income.....	7,858	26.

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	0	0	0	M.4.a.
b. Employee benefit and retirement-related trust and agency accounts.....	0	0	0	
c. Investment management and investment advisory agency accounts.....	9	0	0	
d. Other fiduciary accounts and related services.....	0	0	0	
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....				