

Schedule T - Fiduciary and Related Services
(Trust Departments)
As of June 30, 2021

	(Column A) Managed Assets (000s)	(Column B) Non-Managed Assets (000s)	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	10,839,296	911,096	4,084	178	4.
5. Employee benefit and retirement- related trust and agency accounts:					5.
a. Employee benefit - defined contribution...	310,944	743,308	100	102	5.a.
b. Employee benefit - defined benefit.....	214,294	76,179	14	3	5.b.
c. Other employee benefit and retirement-related accounts.....	827,386	226,793	1,202	107	5.c.
6. Corporate trust and agency accounts.....	0	0	0	0	6.
7. Investment management and investment advisory agency accounts.....	4,779,857	29,352	1,997	5	7.
8. Foundation and endowment trust and agency accounts.....	624,844	15,805	296	4	8.
9. Other fiduciary accounts.....	768	3,228	2	3	9.
10. Total fiduciary accounts (sum of items 4 through 9).....	17,597,389	2,005,761	7,695	402	10.
11. Custody and safekeeping accounts.....	0	28,984,904	0	44,947	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11).....	735,814	17,373,970	1,183	42,882	13.

Schedule T - Fiduciary and Related Services - Continued (Trust Departments) As of June 30, 2021

Memoranda

	(Column A) Personal Trust and Agency and Investment Management Agency Accounts	(Column B) Employee Benefit and Retirement- Related Trust and Agency Accounts	(Column C) All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	606	635	172	M.1.a.
b. Interest-bearing deposits.....	143,397	10,121	11,088	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	410,942	24,135	9,132	M.1.c.
d. State, county and municipal obligations.....	1,826,174	17,243	11,526	M.1.d.
e. Money market mutual funds.....	784,988	53,273	26,505	M.1.e.
f. Equity mutual funds.....	2,092,686	522,179	169,930	M.1.f.
g. Other mutual funds.....	1,660,975	269,035	128,943	M.1.g.
h. Common trust funds and collective investment funds.....	0	0	0	M.1.h.
i. Other short-term obligations.....	146,580	0	0	M.1.i.
j. Other notes and bonds.....	645,320	83,103	24,464	M.1.j.
k. Investments in unregistered funds and private equity investments.....	489,853	3,000	0	M.1.k.
l. Other common and preferred stocks.....	4,748,972	369,494	243,413	M.1.l.
m. Real estate mortgages.....	130,050	7	0	M.1.m.
n. Real estate.....	988,527	245	1	M.1.n.
o. Miscellaneous assets.....	1,550,084	156	440	M.1.o.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o).....	15,619,154	1,352,626	625,614	M.1.p.
		(Column A) Managed Assets	(Column B) Number of Managed Assets	
q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....		328	3	M.1.q.

Schedule T - Fiduciary and Related Services - Continued (Trust Departments) As of June 30, 2021

Memoranda (continued)

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....	0	0	M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....	0	0	M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency.....	0	0	M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....	0	0	M.3.a.
b. International/Global equity.....	0	0	M.3.b.
c. Stock/Bond blend.....	0	0	M.3.c.
d. Taxable bond.....	0	0	M.3.d.
e. Municipal bond	0	0	M.3.e.
f. Short term investments/Money market.....	0	0	M.3.f.
g. Specialty/Other.....	0	0	M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....	0	0	M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	560,591	28,596,221	P.1.
P.2. Less: real estate.....	73,868	0	P.2.
P.3. Trust business on which security is required.....	486,723	28,596,221	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	4,175	1,200	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	7,542	4,544	P.5.
P.6. Excess or deficiency; denote negative amount in ().....	3,367	3,344	P.6.

Schedule T - Fiduciary and Related Services - Continued (Trust Departments) As of June 30, 2021

INCOME

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	28,896	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution.....	1,625	15.a.
b. Employee benefit-defined benefit.....	405	15.b.
c. Other employee benefit and retirement-related accounts.....	3,286	15.c.
16. Corporate trust and agency accounts.....	0	16.
17. Investment management and investment advisory agency accounts.....	12,647	17.
18. Foundation and endowment trust and agency accounts.....	1,810	18.
19. Other fiduciary accounts.....	6	19.
20. Custody and safekeeping accounts.....	17,812	20.
21. Other fiduciary and related services income.....	204	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21).....	66,691	22.
23. Less: Expenses.....	58,179	23.
24. Less: Net losses from fiduciary and related services.....	225	24.
25. Plus: Intracompany income credits for fiduciary and related services.....	5,035	25.
26. Net fiduciary and related services income.....	13,322	26.

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	80	0	44	M.4.a.
b. Employee benefit and retirement-related trust and agency accounts.....	3	6	0	M.4.b.
c. Investment management and investment advisory agency accounts.....	1	0	0	M.4.c.
d. Other fiduciary accounts and related services.....	24	156	0	M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....	108	162	44	M.4.e.