

Schedule T - Fiduciary and Related Services
(Trust Companies)
As of June 30, 2021

	(Column A) Managed Assets (000s)	(Column B) Non-Managed Assets (000s)	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	7,216,380	2,440,408	1,749	576	4.
5. Employee benefit and retirement- related trust and agency accounts:					5.
a. Employee benefit - defined contribution..	71,642	130,522	32	32	5.a.
b. Employee benefit - defined benefit.....	12,035	702,296	5	9	5.b.
c. Other employee benefit and retirement-related accounts.....	583,652	1,360,311	732	174	5.c.
6. Corporate trust and agency accounts.....	0	630	0	1	6.
7. Investment management and investment advisory agency accounts.....	8,344,792	669,362	1,795	125	7.
8. Foundation and endowment trust and agency accounts.....	1,248,256	213,481	172	16	8.
9. Other fiduciary accounts.....	0	1,268	0	3	9.
10. Total fiduciary accounts (sum of items 4 through 9).....	17,476,757	5,518,278	4,485	936	10.
11. Custody and safekeeping accounts.....	0	18,326,030	0	352	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11).....	229,298	1,503,483	327	170	13.

Schedule T - Fiduciary and Related Services - Continued (Trust Companies) As of June 30, 2021

Memoranda

	(Column A) Personal Trust and Agency and Investment Management Agency Accounts	(Column B) Employee Benefit and Retirement- Related Trust and Agency Accounts	(Column C) All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	-37,883	379	155	M.1.a.
b. Interest-bearing deposits.....	139,845	7,291	3,023	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	165,258	4,197	20,002	M.1.c.
d. State, county and municipal obligations.....	650,821	17,431	19,647	M.1.d.
e. Money market mutual funds.....	1,032,802	26,530	38,544	M.1.e.
f. Equity mutual funds.....	1,072,392	131,135	199,473	M.1.f.
g. Other mutual funds.....	665,327	49,243	56,397	M.1.g.
h. Common trust funds and collective investment funds.....	117	0	0	M.1.h.
i. Other short-term obligations.....	56	0	0	M.1.i.
j. Other notes and bonds.....	107,411	5,303	17,306	M.1.j.
k. Investments in unregistered funds and private equity investments.....	43,019	0	0	M.1.k.
l. Other common and preferred stocks.....	7,908,772	406,767	582,447	M.1.l.
m. Real estate mortgages.....	120,899	90	0	M.1.m.
n. Real estate.....	1,365,152	1,092	16,233	M.1.n.
o. Miscellaneous assets.....	2,317,320	15,743	294,350	M.1.o.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o).....	15,551,308	665,201	1,247,577	M.1.p.
		(Column A) Managed Assets	(Column B) Number of Managed Assets	
q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....		460,292	630	M.1.q.

Schedule T - Fiduciary and Related Services - Continued (Trust Companies) As of June 30, 2021

Memoranda (continued)

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....	0	0	M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....	0	0	M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency...	0	0	M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....	0	0	M.3.a.
b. International/Global equity.....	0	0	M.3.b.
c. Stock/Bond blend.....	0	0	M.3.c.
d. Taxable bond.....	0	0	M.3.d.
e. Municipal bond	0	0	M.3.e.
f. Short term investments/Money market.....	0	0	M.3.f.
g. Specialty/Other.....	0	0	M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....	0	0	M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	111,104	41,487,446	P.1.
P.2. Less: real estate.....	13,969	0	P.2.
P.3. Trust business on which security is required.....	97,135	41,487,446	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	1,550	650	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	1,995	1,311	P.5.
P.6. Excess or deficiency; denote negative amount in ().....	445	661	P.6.

Schedule T - Fiduciary and Related Services - Continued (Trust Companies) As of June 30, 2021

INCOME

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	25,393	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution.....	418	15.a.
b. Employee benefit-defined benefit.....	225	15.b.
c. Other employee benefit and retirement-related accounts.....	2,833	15.c.
16. Corporate trust and agency accounts.....	0	16.
17. Investment management and investment advisory agency accounts.....	24,861	17.
18. Foundation and endowment trust and agency accounts.....	4,970	18.
19. Other fiduciary accounts.....	0	19.
20. Custody and safekeeping accounts.....	1,121	20.
21. Other fiduciary and related services income.....	4,325	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21).....	64,146	22.
23. Less: Expenses.....	47,413	23.
24. Less: Net losses from fiduciary and related services.....	13	24.
25. Plus: Intracompany income credits for fiduciary and related services.....	0	25.
26. Net fiduciary and related services income.....	16,720	26.

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	0	0	0	M.4.a.
b. Employee benefit and retirement-related trust and agency accounts.....	4	0	0	M.4.b.
c. Investment management and investment advisory agency accounts.....	9	0	0	M.4.c.
d. Other fiduciary accounts and related services.....	0	0	0	M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....	13	0	0	M.4.e.