



STATE OF CALIFORNIA

Department of Financial Protection and Innovation

GOVERNOR **Gavin Newsom** • Acting COMMISSIONER **Christopher S. Shultz**

ESCROW LAW ADVISORY COMMITTEE MEETING September 23, 2021, 10 a.m. – 12 p.m.

Committee meeting will be held telephonically
Call-In Number: (866) 709-4440
Participant Code: 7675-977

AGENDA

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|---|-------------------|
| 1. Welcome and opening remarks | Paul Liang |
| 2. Review and approval of minutes for 3/9/21 meeting | Paul Liang |
| 3. DFPI Updates | Paul Liang |
| 4. Unauthorized stock transfers | Paul Liang |
| 5. Enforcement actions and licensing update | Paul Liang |
| 6. Public comments | |
| 7. Closing remarks | Paul Liang |

(In limited occurrences or due to unforeseen circumstances, there may be a change to the order of agenda items.)
Special accommodations for disabled persons, such as sign language interpreter services, are available. Please make requests for special accommodation services at least seven days in advance of the meeting. Requests should be directed to Paul Liang in the Los Angeles office at (213) 576-7535. The meeting can be accessed by telephone by dialing the toll free number above and entering the above pass code. This agenda is available on the California Department of Financial Protection and Innovation website at www.dfpi.ca.gov