



STATE OF CALIFORNIA

**Department of Financial Protection and Innovation**

GOVERNOR **Gavin Newsom** • ACTING COMMISSIONER **Christopher S. Shultz**

**Notice of Public Hearing**  
**on**  
**Modifications to Proposed Escrow Regulations (PRO 13/13)**  
**via**  
**Zoom Video/Telephone Conference**

**November 4, 2021**  
**10:00 a.m.**

**Meeting ID: 882 6297 2337**

**Link:** <https://us06web.zoom.us/join/88262972337>

**Listen Only Telephone Conference**  
**USA 888-363-4734 (Toll Free)**  
**USA 215-446-3656**  
**Conference Code: 611586**

**Agenda**

1. Introduction (Sherri Kaufman, Senior Counsel, DFPI)
2. Public commentary on [modifications to proposed Escrow Regulations](#), made available on August 27, 2021, regarding personal property, prohibited compensation, escrow books and records, and the annual and closing audit reports.
3. Closing (Sherri Kaufman)

**Notice of Hearing**

In response to a hearing request by an interested party, notice is hereby given that a public hearing will be held via Zoom to give interested persons an opportunity to express their views regarding the modifications to the proposed regulations under the Escrow Law. Testimony during the hearing may be provided on the modifications to the originally proposed text made available on August 27, 2021.

The [proposed modifications](#) are available [here](#).

The comment period for written comments on the modifications ended on September 13, 2021. The Department will re-open the comment period to accept oral comments on the modifications to the originally proposed text during the hearing.

## **How to Participate**

### **a. To Speak**

If you wish to participate in the hearing, please follow the link provided at the top of this notice and enter the passcode provided. A Zoom account is not required to join a Zoom meeting.

All comments and names of persons choosing to participate, will be recorded and transcribed for inclusion within the public rulemaking record.

### **b. To Listen Only**

If you wish only to observe/listen to the hearing and not comment, you may instead choose to teleconference in via the numbers and conference code provided. The telephone number is only for listening, and a caller will not have the opportunity to speak.

### **c. Additional Instructions for Zoom Participants**

In order to ensure a timely start to the hearing, sign in using the provided link and passcode 15 minutes before the scheduled start time. When signing into the Zoom platform, participants may be asked for their name and email address and/or other identifying information to observe or participate in the hearing. Participants who choose not to provide their full names or organizational information should still provide a unique identifier such as their initials or otherwise so that the hearing moderator can identify individuals who wish to make a public comment.

Anyone wishing to provide commentary at the hearing may, through functionality within the Zoom software, “raise their hand” during the hearing. When called upon by the moderator to speak, each speaker will be allotted five minutes for a brief presentation. In order to give everyone a chance to comment, the moderator reserves the right to mute and move on to the next speaker if any presentation goes beyond the five-minute limitation. No persons shall be permitted to speak more than once.

### **d. Reasonable Accommodations**

Requests for reasonable accommodations should be made at least three business days prior to the hearing date to give the Department sufficient time to make arrangements. To request reasonable accommodations, please call (916) 217-6643 or e-mail [sherri.kaufman@dfpi.ca.gov](mailto:sherri.kaufman@dfpi.ca.gov).