

Schedule T - Fiduciary and Related Services
(Trust Departments)
As of September 30, 2021

	(Column A) Managed Assets (000s)	(Column B) Non-Managed Assets (000s)	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	12,028,852	1,135,761	4,658	220	4.
5. Employee benefit and retirement- related trust and agency accounts:					5.
a. Employee benefit - defined contribution...	308,484	742,272	112	94	5.a.
b. Employee benefit - defined benefit.....	213,021	75,332	15	3	5.b.
c. Other employee benefit and retirement-related accounts.....	875,183	232,284	1,261	118	5.c.
6. Corporate trust and agency accounts.....	0	0	16	0	6.
7. Investment management and investment advisory agency accounts.....	5,018,678	30,480	2,076	6	7.
8. Foundation and endowment trust and agency accounts.....	615,552	15,080	298	4	8.
9. Other fiduciary accounts.....	368	3,212	2	3	9.
10. Total fiduciary accounts (sum of items 4 through 9).....	19,060,138	2,234,421	8,438	448	10.
11. Custody and safekeeping accounts.....	0	29,264,026	0	45,212	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11).....	772,025	17,423,517	1,234	43,021	13.

Schedule T - Fiduciary and Related Services - Continued (Trust Departments) As of September 30, 2021

Memoranda

	(Column A) Personal Trust and Agency and Investment Management Agency Accounts	(Column B) Employee Benefit and Retirement- Related Trust and Agency Accounts	(Column C) All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	-580	-122	167	M.1.a.
b. Interest-bearing deposits.....	156,136	10,667	10,027	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	461,139	23,584	12,594	M.1.c.
d. State, county and municipal obligations.....	1,865,091	16,865	16,667	M.1.d.
e. Money market mutual funds.....	817,366	48,254	32,269	M.1.e.
f. Equity mutual funds.....	2,282,376	513,201	163,961	M.1.f.
g. Other mutual funds.....	1,820,235	270,890	128,818	M.1.g.
h. Common trust funds and collective investment funds.....	0	0	0	M.1.h.
i. Other short-term obligations.....	144,316	0	0	M.1.i.
j. Other notes and bonds.....	692,225	89,242	32,847	M.1.j.
k. Investments in unregistered funds and private equity investments.....	498,276	3,000	0	M.1.k.
l. Other common and preferred stocks.....	5,254,314	369,117	218,128	M.1.l.
m. Real estate mortgages.....	116,582	0	0	M.1.m.
n. Real estate.....	1,231,185	0	1	M.1.n.
o. Miscellaneous assets.....	1,708,547	17,629	440	M.1.o.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o).....	17,047,208	1,362,327	615,919	M.1.p.
		(Column A) Managed Assets	(Column B) Number of Managed Assets	
q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....		316	3	M.1.q.

Schedule T - Fiduciary and Related Services - Continued (Trust Departments) As of September 30, 2021

Memoranda (continued)

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....	0	0	M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....	0	0	M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency...	0		M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....	0	0	M.3.a.
b. International/Global equity.....	0	0	M.3.b.
c. Stock/Bond blend.....	0	0	M.3.c.
d. Taxable bond.....	0	0	M.3.d.
e. Municipal bond	0	0	M.3.e.
f. Short term investments/Money market.....	0	0	M.3.f.
g. Specialty/Other.....	0	0	M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....	0	0	M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	585,668	29,791,873	P.1.
P.2. Less: real estate.....	76,889		P.2.
P.3. Trust business on which security is required.....	508,779	29,791,873	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	4,175	1,200	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	11,047	4,990	P.5.
P.6. Excess or deficiency; denote negative amount in ().....	6,872	3,790	P.6.

Schedule T - Fiduciary and Related Services - Continued (Trust Departments) As of September 30, 2021

INCOME

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	45,806	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution.....	2,468	15.a.
b. Employee benefit-defined benefit.....	613	15.b.
c. Other employee benefit and retirement-related accounts.....	5,084	15.c.
16. Corporate trust and agency accounts.....	0	16.
17. Investment management and investment advisory agency accounts.....	19,788	17.
18. Foundation and endowment trust and agency accounts.....	2,770	18.
19. Other fiduciary accounts.....	6	19.
20. Custody and safekeeping accounts.....	30,679	20.
21. Other fiduciary and related services income.....	341	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21).....	107,555	22.
23. Less: Expenses.....	88,427	23.
24. Less: Net losses from fiduciary and related services.....	333	24.
25. Plus: Intracompany income credits for fiduciary and related services.....	7,713	25.
26. Net fiduciary and related services income.....	26,508	26.

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	85	0	44	M.4.a.
b. Employee benefit and retirement-related trust and agency accounts.....	4	6	0	M.4.b.
c. Investment management and investment advisory agency accounts.....	6	0	0	M.4.c.
d. Other fiduciary accounts and related services.....	24	252	0	M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....	119	258	44	M.4.e.