

Schedule T - Fiduciary and Related Services
(Trust Companies)
As of September 30, 2021

	(Column A) Managed Assets (000s)	(Column B) Non-Managed Assets (000s)	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	6,923,316	2,223,981	1,729	717	4.
5. Employee benefit and retirement- related trust and agency accounts:					5.
a. Employee benefit - defined contribution..	72,584	11,831	34	26	5.a.
b. Employee benefit - defined benefit.....	11,911	692,276	4	9	5.b.
c. Other employee benefit and retirement-related accounts.....	589,310	1,316,158	757	176	5.c.
6. Corporate trust and agency accounts.....	0	101,497	0	2	6.
7. Investment management and investment advisory agency accounts.....	8,220,602	612,783	1,835	122	7.
8. Foundation and endowment trust and agency accounts.....	1,273,067	210,994	180	17	8.
9. Other fiduciary accounts.....	0	1,248	0	3	9.
10. Total fiduciary accounts (sum of items 4 through 9).....	17,090,790	5,170,768	4,539	1,072	10.
11. Custody and safekeeping accounts.....	230	18,086,489	1	361	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11).....	231,862	1,330,384	341	172	13.

Schedule T - Fiduciary and Related Services - Continued
(Trust Companies)
As of September 30, 2021

Memoranda

	(Column A) Personal Trust and Agency and Investment Management Agency Accounts	(Column B) Employee Benefit and Retirement- Related Trust and Agency Accounts	(Column C) All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	-32,041	416	256	M.1.a.
b. Interest-bearing deposits.....	121,793	7,371	3,074	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	174,507	3,663	22,581	M.1.c.
d. State, county and municipal obligations.....	640,289	16,150	19,242	M.1.d.
e. Money market mutual funds.....	825,005	31,370	49,204	M.1.e.
f. Equity mutual funds.....	1,090,064	128,328	195,965	M.1.f.
g. Other mutual funds.....	713,096	52,006	56,550	M.1.g.
h. Common trust funds and collective investment funds.....	116	0	0	M.1.h.
i. Other short-term obligations.....	48	0	0	M.1.i.
j. Other notes and bonds.....	105,949	4,938	18,687	M.1.j.
k. Investments in unregistered funds and private equity investments.....	39,318	0	92,459	M.1.k.
l. Other common and preferred stocks.....	7,817,534	411,531	606,178	M.1.l.
m. Real estate mortgages.....	118,771	88	0	M.1.m.
n. Real estate.....	1,361,529	1,014	16,026	M.1.n.
o. Miscellaneous assets.....	2,157,752	14,683	293,104	M.1.o.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o).....	15,133,730	671,558	1,373,326	M.1.p.
		(Column A) Managed Assets	(Column B) Number of Managed Assets	
q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....		464,860	639	M.1.q.

Schedule T - Fiduciary and Related Services - Continued (Trust Companies) As of September 30, 2021

Memoranda (continued)

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....	0	0	M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....	0	0	M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency...	0	0	M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....	0	0	M.3.a.
b. International/Global equity.....	0	0	M.3.b.
c. Stock/Bond blend.....	0	0	M.3.c.
d. Taxable bond.....	0	0	M.3.d.
e. Municipal bond	0	0	M.3.e.
f. Short term investments/Money market.....	0	0	M.3.f.
g. Specialty/Other.....	0	0	M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....	0	0	M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	111,276	40,297,315	P.1.
P.2. Less: real estate.....	13,969	0	P.2.
P.3. Trust business on which security is required.....	97,307	40,297,315	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	1,550	650	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	1,994	1,301	P.5.
P.6. Excess or deficiency; denote negative amount in ().....	444	651	P.6.

Schedule T - Fiduciary and Related Services - Continued (Trust Companies) As of September 30, 2021

INCOME

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	38,453	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution.....	590	15.a.
b. Employee benefit-defined benefit.....	334	15.b.
c. Other employee benefit and retirement-related accounts.....	4,377	15.c.
16. Corporate trust and agency accounts.....	0	16.
17. Investment management and investment advisory agency accounts.....	38,309	17.
18. Foundation and endowment trust and agency accounts.....	7,674	18.
19. Other fiduciary accounts.....	1	19.
20. Custody and safekeeping accounts.....	1,686	20.
21. Other fiduciary and related services income.....	6,488	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21).....	97,912	22.
23. Less: Expenses.....	72,032	23.
24. Less: Net losses from fiduciary and related services.....	16	24.
25. Plus: Intracompany income credits for fiduciary and related services.....	0	25.
26. Net fiduciary and related services income.....	25,864	26.

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	2	0	0	M.4.a.
b. Employee benefit and retirement-related trust and agency accounts.....	4	0	0	M.4.b.
c. Investment management and investment advisory agency accounts.....	10	0	0	M.4.c.
d. Other fiduciary accounts and related services.....	0	0	0	M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....	16	0	0	M.4.e.