

DEPARTMENT OF FINANCIAL PROTECTION AND INNOVATION
SUMMARY OF PENDING APPLICATIONS AS OF NOVEMBER 2021

| <u>APPLICATION TYPE</u> | <u>PAGE NO.</u> |
|---|-----------------|
| BANK APPLICATION | |
| NEW BANKS | 1 |
| ACQUISITION OF CONTROL | 1 |
| MERGER | 2 |
| PURCHASE OF PARTIAL BUSINESS UNIT | 2 |
| SALE OF PARTIAL BUSINESS UNIT | 3 |
| NEW BRANCH | 3 |
| NEW FACILITY | 3 |
| RELOCATION OF BRANCH OFFICE | 3 |
| DISCONTINUANCE OF BRANCH OFFICE | 4 |
| DISCONTINUANCE OF FACILITY | 6 |
| PREMIUM FINANCE COMPANY APPLICATION | |
| NEW PREMIUM FINANCE COMPANY | 6 |
| ACQUISITION OF CONTROL | 7 |
| FOREIGN (OTHER NATION) BANK APPLICATION | |
| NEW OFFICE | 7 |
| DISCONTINUANCE OF OFFICE | 7 |
| RELOCATION OF OFFICE | 8 |
| VOLUNTARY SURRENDER | 8 |
| FOREIGN (OTHER STATE) BANK APPLICATION | |
| NEW FACILITY | 8 |
| CREDIT UNION APPLICATION | |
| MERGER | 9 |
| MONEY TRANSMITTER APPLICATION | |
| NEW TRANSMITTER | 9 |
| ACQUISITION OF CONTROL | 10 |

BANK APPLICATION**NEW BANKS****Filed: 3 Approved: 1****BANK IRVINE**

Proposed location: Street address to be determined in the Irvine, Orange County
Correspondent: James B. Jones
Carpenter & Company, 23 Corporate Plaza Drive, Suite 150, #D-11, Newport Beach,
CA 92660
Phone: 949-261-8888
Filed: 11/22/21

BEACH CITIES COMMERCIAL BANK

Proposed location: Street address to be determined in the vicinity of Technology Drive
and Laguna Canyon Road, Irvine, Orange County
Correspondent: Richard E. Knecht
120 Newport Center Drive, Newport Beach, CA 92660
Phone: 949-292-9160
Filed: 9/27/21

LEGACY BANK

Proposed location: Street address to be determined, Temecula, Riverside County
Correspondent: James Hicken
c/o Carpenter & Company, 2 Park Plaza, Suite 550, Irvine, CA 92614
Phone: 661-733-5099
Filed: 11/8/19
Approved: 5/13/20

NEW ENTERPRISE BANK

Proposed location: 3945 Freedom Circle, Santa Clara, Santa Clara County
Correspondent: Alan Rosen
Duane Morris LLP, 865 S. Figueroa Street, Suite 3100, Los Angeles, CA 90017
Phone: 213-689-7461
Filed: 9/23/20

ACQUISITION OF CONTROL**Filed: 1 Approved: 1**

EARLE S. WASSERMAN, to acquire control of MISSION VALLEY BANK
Filed: 10/29/21

PACIFIC VALLEY BANCORP, to acquire control of PACIFIC VALLEY BANK
Filed: 9/23/21
Approved: 11/19/21

BANK APPLICATION**MERGER****Approved: 4**

FIRST FLORIDA INTEGRITY BANK, Naples, Florida, to merge with and into FIRST FOUNDATION BANK, Irvine, California

Filed: 7/28/21

Approved: 11/08/21

PACIFIC ENTERPRISE BANK, Irvine, to merge with and into UNITED BUSINESS BANK, Walnut Creek

Filed: 10/19/21

Approved: 11/10/21

SUNCREST BANK, Visalia, to merge with and into CITIZENS BUSINESS BANK, Ontario

Filed: 9/02/21

Approved: 11/15/21

VALLEY REPUBLIC BANK, Bakersfield, to merge with and into TRI COUNTIES BANK, Chico

Filed: 8/04/21

Approved: 9/10/21

PURCHASE OF PARTIAL BUSINESS UNIT**Approved: 2**

CATHAY BANK, to acquire the banking business of ten branch offices of HSBC Bank USA, NATIONAL ASSOCIATION and additional loans and deposits associated with HSBC's West Coast mass retail market consumer banking business and retail business banking business

Filed: 7/9/21

Approved: 8/20/21

ROYAL BUSINESS BANK, to acquire the banking business of the Honolulu branch of BANK OF THE ORIENT

Filed: 8/02/21

Approved: 9/16/21

BANK APPLICATION**SALE OF PARTIAL BUSINESS UNIT****Approved: 1**

BANK OF THE ORIENT, to sell the banking business of its Hawaii branch to ROYAL BUSINESS BANK

Filed: 8/05/21

Approved: 8/19/21

NEW BRANCH**Approved: 2 Opened: 1**

CATHAY BANK

Rm 1806, Kerry Center Tower 1, No. 1515 Nan Jing Road West, Shanghai, China

Filed: 5/29/20

Approved: 10/15/20

FARMERS & MERCHANTS BANK OF CENTRAL CALIFORNIA

6182 Antioch Street, Oakland, Alameda County

Opened: 11/30/21

MEGA BANK

3947 Irvine Boulevard, Irvine, Orange County

Filed: 3/2/21

Approved: 4/22/21

NEW FACILITY**No Objection: 2 Opened: 1**

BENEFICIAL STATE BANK

- 879 West 190th Street, Gardena, Los Angeles County

- 1500 SW First Avenue, Portland, Multnomah County, Oregon

No Objection: 2/12/20

SILICON VALLEY BANK

2100 N. Greenville Avenue, Richardson, Dallas County, Texas

Opened: 11/15/21

RELOCATION OF BRANCH OFFICE**Effected: 1**

MECHANICS BANK

From 45 River Park East, to 8462 N. Friant Road, Fresno, Fresno County

Effected: 11/22/21

BANK APPLICATION**DISCONTINUANCE OF BRANCH OFFICE****No Objection: 34****Discontinued: 5****AVIDBANK**

437 Lytton Avenue, Palo Alto, Santa Clara County

No Objection: 7/09/21

BANK OF HOPE

7553 Blvd. 26, North Richland Hills, Tarrant County, Texas

No Objection: 10/26/20

BANK OF THE WEST

- 9934 East Las Tunas Drive, Temple City, Los Angeles County
- 139 East Victory Way, Craig, Moffat County, Colorado
- 712 Durant Street, Harlan, Shelby County, Iowa
- 801 Grand Avenue, Des Moines, Polk County, Iowa
- 1024 Broadway, Wheaton, Traverse County, Minnesota
- 12255 West Center Road, Omaha, Douglas County, Nebraska
- 809 Box Butte Avenue, Hemingford, Box Butte County, Nebraska
- 520 Main Avenue, Fargo, Cass County, North Dakota
- 137 South Chicago Street, Hot Springs, Fall River County, South Dakota
- 520 East Boxelder Road, Gillette, Campbell County, Wyoming
- 1026 Park Avenue, Meeteetse, Park County, Wyoming
- 120 North 7th Street, Worland, Washakie County, Wyoming
- 220 4th Street, Rawlins, Carbon County, Wyoming

No Objection: 11/03/21

CITIZENS BUSINESS BANK

1900 Avenue of the Stars, Los Angeles, Los Angeles County

No Objection: 6/25/21

COMMONWEALTH BUSINESS BANK

9120 Irvine Center Drive, Irvine, Orange County

No Objection: 9/27/21

EAST WEST BANK

900 Kearny Street, City and County of San Francisco

No Objection: 4/30/21

Discontinued: 11/19/21

EAST WEST BANK

- 1300 S. Golden West Avenue, Arcadia, Los Angeles County
- 140 W. Valley Boulevard, San Gabriel, Los Angeles County

No Objection: 10/04/21

MANUFACTURERS BANK

200 S. San Pedro Street, Los Angeles, Los Angeles County

No Objection: 9/08/21

BANK APPLICATION**DISCONTINUANCE OF BRANCH OFFICE (Continued)**

MECHANICS BANK

- 51290 Avenida Bermudas, La Quinta, Riverside County
- 41990 Cook Street, Palm Desert, Riverside County

No Objection: 3/30/21

MECHANICS BANK

424 Main Street, Etna, Siskiyou County

No Objection: 10/01/21

MECHANICS BANK

- 300 S. Mills Road, Ventura, Ventura County
- 1889 W. March Lane, Stockton, San Joaquin County
- 146 W. Los Angeles Avenue, Moorpark, Ventura County
- 683 Parker Street, Rodeo, Contra Costa County
- 731 E. Yosemite Avenue, Merced, Merced County

No Objection: 10/01/21

MECHANICS BANK

107 N. Ocean Avenue, Cayucos, San Luis Obispo County

No Objection: 10/14/21

MEGA BANK

3996 Barranca Parkway, Irvine, Orange County

No Objection: 4/22/21

PACIFIC PREMIER BANK

- 2710 Via De La Valle, Del Mar, San Diego County
- 211 East Ocean Boulevard, Long Beach, Los Angeles County

No Objection: 9/09/21

Discontinued: 11/05/21

SILICON VALLEY BANK

7 Central Street, Hingham, Plymouth County, Massachusetts

No Objection: 11/02/21

SILICON VALLEY BANK

971 South Westlake Village Boulevard, Westlake Village, Los Angeles County

No Objection: 9/28/21

Discontinued: 11/15/21

SILICON VALLEY BANK

- 16000 Ventura Boulevard, Encino, Los Angeles County
- 345 S. San Antonio Road, Los Altos, Santa Clara County

No Objection: 11/23/21

BANK APPLICATION**DISCONTINUANCE OF BRANCH OFFICE (Continued)**

TRI COUNTIES BANK
425 South Mathilda Avenue, Sunnyvale, Santa Clara County
No Objection: 7/01/21
Discontinued: 11/17/21

UNITED BUSINESS BANK
960 School Street, Napa, Napa County
No Objection: 7/09/21

DISCONTINUANCE OF FACILITY

Discontinued: 1

SILICON VALLEY BANK
14555 N. Dallas Parkway, Dallas, Dallas County, Texas
Discontinued: 11/15/21

PREMIUM FINANCE COMPANY APPLICATION**NEW PREMIUM FINANCE COMPANY**

Filed: 3 Approved: 4

ASCEND CALIFORNIA
955 Alma Street, Palo Alto, Santa Clara County
Filed: 9/16/21

ELITE PREMIUM FINANCE, INC.
600 West Broadway, San Diego, San Diego County
Filed: 10/14/21

EVT PREMIUM FINANCE, INC.
660 Newport Center Drive, Newport Beach, Orange County
Filed: 10/13/21
Approved: 11/18/21

INTEGRATED PREMIUM FUNDING CORP
1811 Aston Avenue, Carlsbad, San Diego County
Filed: 4/7/21
Approved: 9/29/21

ULTIMATE PREMIUM FINANCE, INC.
411 W. Lambert Rd, Brea, Orange County
Filed: 12/17/20
Approved: 7/28/21

PREMIUM FINANCE COMPANY APPLICATION**NEW PREMIUM FINANCE COMPANY (Continued)**

VELOCITY PREMIUM FINANCE, INC.
74710 CA 111, Palm Desert, Riverside County
Filed: 7/24/20
Approved: 10/26/21

WALCO FUNDING PFCA, INC.
6200 Canoga Avenue, Woodland Hills, Los Angeles County
Filed: 10/15/21

ACQUISITION OF CONTROL

Filed: 1

WORLD SAC CORPORATE HOLDINGS, LLC, to acquire control of PACIFIC COAST
PREMIUM FINANCE CORP.
Filed: 6/16/21

FOREIGN (OTHER NATION) BANK APPLICATION**NEW OFFICE**

Approved: 1

BAWAG P.S.K. BANK FÜR ARBEIT UND WIRTSCHAFT UND ÖSTERREICHISCHE
POSTSPARKASSE AKTIENGESELLSCHAFT
521 Boccaccio Avenue, Venice, Los Angeles County (Representative Office)
Filed: 9/14/21
Approved: 11/09/21

DISCONTINUANCE OF OFFICE

Effected: 1

SHIZUOKA BANK, LTD., THE
To discontinue Wholesale Branch at 801 South Figueroa Street, Los Angeles, and to
establish a Representative Office at 873 Santa Cruz Avenue, Menlo Park, San Mateo
County
Filed: 8/20/21
Approved: 8/31/21
Effected: 11/01/21

FOREIGN (OTHER NATION) BANK APPLICATION**RELOCATION OF OFFICE****Filed: 1 Approved: 1****NATIXIS**

From 10250 Constellation Boulevard, to its temporary location at 1800 Century Park East, Los Angeles, Los Angeles County, and then to its permanent location at 1801 Century Park East, Los Angeles, Los Angeles County (Representative Office)

Filed: 10/29/21

Approved: 11/5/21

STANDARD CHARTERED BANK

From 50 Fremont Street, to 575 Market Street, City and County of San Francisco (Wholesale branch)

Filed: 7/23/21

VOLUNTARY SURRENDER**Effected: 1****BANCO SANTANDER, S.A.**

169 University Avenue, Palo Alto, Santa Clara County (Representative Office)

Filed: 10/04/21

Effected: 11/30/21

FOREIGN (OTHER STATE) BANK APPLICATION**NEW FACILITY****No Objection: 15****CONGRESSIONAL BANK**

4660 La Jolla Village Drive, San Diego, San Diego County

No Objection: 7/12/21

CORNERSTONE HOME LENDING, INC. (will convert to a state savings bank with the Texas Department of Savings and Mortgage Lending, and change its name to CORNERSTONE CAPITAL BANK, SSB, and establish facilities under the assumed name, CORNERSTONE HOME LENDING)

- 8175 E. Kaiser Boulevard, Anaheim Hills, Orange County
- 20440 US Highway 18, Apple Valley, San Bernardino County
- 27201 Highway 189, Blue Jay, San Bernardino County
- 402 Hartz Avenue, Danville, Contra Costa County
- 28202 Cabot Road, Laguna Niguel, Orange County
- 990 Reserve Drive, Roseville, Placer County
- 16959 Bernardo Center Drive, San Diego, San Diego County

FOREIGN (OTHER STATE) BANK APPLICATION**NEW FACILITY (Continued)**

CORNERSTONE HOME LENDING, INC. (Continued)

- 1826 State Street, Santa Barbara, Santa Barbara County
- 1828 State Street, Santa Barbara, Santa Barbara County
- 139 Ocean Avenue Extension, Santa Monica, Los Angeles County
- 1164 Monte Vista Avenue, Upland, San Bernardino County
- 1402 Daylily Street, Upland, San Bernardino County
- 18200 Yorba Linda Boulevard, Yorba Linda, Orange County
- 1500 Poole Boulevard, Yuba City, Sutter County

No Objection: 8/31/21

CREDIT UNION APPLICATION**MERGER**

Filed: 2

MY CREDIT UNION, Redwood City, to merge with and into SAN FRANCISCO FEDERAL CREDIT UNION, San Francisco

Filed 7/28/21

PACIFIC FEDERAL CREDIT UNION, Diamond Bar, to merge with and into ARROWHEAD CENTRAL CREDIT UNION, Rancho Cucamonga

Filed: 11/3/21

MONEY TRANSMITTER APPLICATION**NEW TRANSMITTER**

Filed: 5 Approved: 2 Opened: 1

EXPENSIFY PAYMENTS LLC

Filed: 2/23/21

FIGURE PAYMENTS CORPORATION

Filed: 8/17/21

Approved: 11/12/21

GLOBAL PRIMEX LLC

Filed: 8/21/20

RAM Payment, LLC

Filed: 8/20/21

ROBINHOOD MONEY, LLC

Filed: 4/09/21

Approved: 10/28/21

MONEY TRANSMITTER APPLICATION**NEW TRANSMITTER (Continued)**

TANGO CARD, INC.
Filed: 5/21/21

TAPTAP SEND PAYMENTS CO.
Filed: 5/11/21
Approved: 10/20/21
Opened: 11/23/21

WAVE FINANCIAL USA, INC.
Filed: 7/28/21

ACQUISITION OF CONTROL

Filed: 1 Approved: 3

CONVERA BIDCO LIMITED, to acquire control of WESTERN UNION BUSINESS SOLUTIONS (USA), LLC
Filed: 9/14/21

HS INVESTMENTS EFP II LIMITED, to acquire control of MEZU (NA), INC.
Filed: 11/3/21
Approved: 11/9/21

MILANITA MEDINA, to acquire control of LUCKY MONEY, INC.
Filed: 5/24/21
Amended: 10/18/21 – Applicant amended from REMIL MEDINA
Approved: 11/16/21

VISA OPEN CONNECT LIMITED, to acquire control of THE CURRENCY CLOUD INC.
Filed: 8/23/21
Approved: 11/03/21