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Senior Counsel
4 Department of Financial Protection and Innovation
320 West 4th Street, Suite 750
5 Los Angeles, California 90013
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6 Facsimile: (213) 576-7181
7 Attorneys for Complainant

8 BEFORE THE DEPARTMENT OF FINANCIAL PROTECTION AND INNOVATION
9 OF THE STATE OF CALIFORNIA

10 In the Matter of:) CFL LICENSE NO: 60DBO-76937
11)
12 THE COMMISSIONER OF FINANCIAL) NOTICE OF PETITION FOR
PROTECTION AND INNOVATION,) REINSTATEMENT
13)
14 Complainant,) (California Government Code Section 11522)
15 v.)
16)
17 JMP CAPITAL 1 LLC,)
Respondent.)

18
19 TO: JMP CAPITAL 1 LLC
20 c/o James J. Fowler
21 600 Montgomery Street, Suite 1100
San Francisco, California 94111

22 ROB BONTA
23 ATTORNEY GENERAL, STATE OF CALIFORNIA
24 Department of Justice
25 P.O. Box 944255
Sacramento, California 94244-2550

26 Pursuant to Government Code section 11522, you are hereby notified that on or
27 about May 25, 2021, JMP Capital 1 LLC (JMP), Petitioner, filed a Petition for
28 Reinstatement of License (Petition) with the Commissioner of Financial Protection and
Innovation (Commissioner). A true and correct copy of the Petition is attached hereto and

1 incorporated herein as Exhibit 1.

2 An Order effective December 30, 2019, revoked JMP’s California Financing Law
3 (CFL) license for failure to pay the Annual Assessment for 2019-2020 as required by
4 Financial Code section 22107. A true and correct copy of the revocation order is attached
5 hereto and incorporated herein as Exhibit 2.

6 YOU ARE HEREBY FURTHER NOTIFIED that written argument and/or further
7 written argument may be presented in this matter and should be received by the
8 Department of Financial Protection and Innovation on or before October 27, 2021, at its
9 office located at 320 West 4th Street, Suite 750, Los Angeles, California 90013. A
10 decision on the Petition will be made on or before October 27, 2021. Please direct all
11 correspondence to the attention of the undersigned counsel.

12 Dated: September 22, 2021

CHRISTOPHER S. SHULTZ
Acting Commissioner of Financial Protection and
Innovation

15 By _____
16 UCHE L. ENENWALI
17 Senior Counsel
18 Enforcement Division
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