

**SUMMARY OF ENFORCEMENT ACTIONS (ADMINISTRATIVE AND CIVIL)**  
**BY THE CALIFORNIA DEPARTMENT OF FINANCIAL PROTECTION AND INNOVATION**  
**December 2021**

<b>SUBJECT</b>	<b>ACTION</b>	<b>VIOLATIONS &amp; CHARGING SECTIONS</b>
Ark Financial Advisors, LLC  Austin, Texas	Consent Order  December 2, 2021	Unlawful Investment Adviser Activity  (Corporations Code Sections 25241 and 25249)
Novateur Capital, LLC  Dublin, California	Notice of Petition for Reinstatement  December 3, 2021	Unlawful California Financing Law Activity  (Government Code Section 11522)
DAS-Alliance Group, LLC DASAG 3 HR, LLC Ed Monce Enrico Scolari  San Diego, California	Consent Order  December 3, 2021	Unlawful Offer and Sale of Securities  (Corporations Code Section 25110)

<b>SUBJECT</b>	<b>ACTION</b>	<b>VIOLATIONS &amp; CHARGING SECTIONS</b>
Ben Alexander-Owens Anderson  Irvine, California	Statement of Issues  December 3, 2021	Mortgage Loan Originator License  (Financial Code Sections 50141(a)(1) and 50513(a)(2))
Jonathan Bennett  Costa Mesa, California	Statement of Issues in Support of Notice of Intention to Issue Order Denying Mortgage Loan Originator Application  December 6, 2021	Mortgage Loan Originator License  (Financial Code Sections 22109.1, 22172, 50141 and 50513)
Daniel Postak  Phoenix, Arizona	Stipulation to License Surrender  December 6, 2021	Mortgage Loan Originator License  (Financial Code Section 50141(a)(2)(A))
Dave Inc.  Los Angeles, California	Memorandum of Understanding  December 8, 2021	Unlawful California Consumer Financial Protection Law Activity  (Financial Code Sections 90005(f) and 90009(f)(2))

<b>SUBJECT</b>	<b>ACTION</b>	<b>VIOLATIONS &amp; CHARGING SECTIONS</b>
JMP Capital 1 LLC  San Francisco, California	Order Conditionally Granting Petition for Reinstatement  December 9, 2021	Unlawful California Financing Law Activity  (Government Code Section 11522)
RD Legal Funding, LLC  Cresskill, New Jersey	Accusation in Support of Notice of Intention to Issue Order Revoking California Financing Law License  December 10, 2021	Unlawful California Financing Law Activity  (Financial Code Section 22715)
Jan Earl Haynes  La Jolla, California	Accusation  December 10, 2021	Unlawful Broker-Dealer Activity  (Corporations Code Sections 25401, 25216 and title 10 § 260.216.
Vision Financial Group Inc.  Pittsburg, Pennsylvania	Notice of Petition for Reinstatement  December 10, 2021	Unlawful California Financing Law Activity  (Government Code Section 11522)

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Wheels Financial Group, LLC dba LoanMart  Encino, California	Consent Order  December 14, 2021	Unlawful California Financing Law Activity  (Financial Code Section 22702)
Rami H. Abi  Fullerton, California	Accusation  December 20, 2021	Mortgage Loan Originator License  (Financial Code Sections 22172(a)(1) and (a)(2), 22755(b), (g), (h), and (j), 22109.1(a)(3) and 22712(a)(2))
John A. Krochman  Corona, California	Accusation  December 20, 2021	Mortgage Loan Originator License  (Financial Code Sections 22172(a)(1) and (a)(2), 22755(b), (g), (h), and (j), 22109.1(a)(3) and 22712(a)(2))

<b>SUBJECT</b>	<b>ACTION</b>	<b>VIOLATIONS &amp; CHARGING SECTIONS</b>
Alejandro Arauz  Covina, California	Accusation  December 20, 2021	Mortgage Loan Originator License  (Financial Code Sections 22172(a)(1) and (a)(2), 22755(b), (g), (h), and (j), 22109.1(a)(3) and 22712(a)(2))
Mark L. Brandenburger  Irvine, California	Accusation  December 20, 2021	Mortgage Loan Originator License  (Financial Code Sections 22172(a)(1) and (a)(2), 22755(b), (g), (h), and (j), 22109.1(a)(3) and 22712(a)(2))
Taylor Bryce Newland-Turner  San Diego, California	Consent Order  December 20, 2021	Mortgage Loan Originator License  (Financial Code Section 22705.1)

<b>SUBJECT</b>	<b>ACTION</b>	<b>VIOLATIONS &amp; CHARGING SECTIONS</b>
Eco Renovation Group  Anaheim, California	Desist and Refrain Order  December 21, 2021	Unlawful California Licensing Law Activity  (Financial Code Sections 22161 and 22690)
Huma Capital Management LLC  Ontario, California	Order Denying Petition for Reinstatement  December 21, 2021	Unlawful California Financing Law Activity  (Government Code Section 11522)