

1 MARY ANN SMITH  
Deputy Commissioner  
2 Department of Financial Protection and Innovation  
320 West 4<sup>th</sup> Street, Suite 750  
3 Los Angeles, California 90013-2344

4 Attorney for Complainant

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8 BEFORE THE DEPARTMENT OF FINANCIAL PROTECTION AND INNOVATION  
9 OF THE STATE OF CALIFORNIA

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11	In the Matter of:	) CFL LICENSE NO.: 603J840
12	THE COMMISSIONER OF FINANCIAL	)
13	PROTECTION AND INNOVATION,	) ORDER SUMMARILY REVOKING FINANCE
14	Complainant,	) LENDER AND/OR BROKER LICENSE(S)
15	v.	) PURSUANT TO FINANCIAL CODE
16		) SECTION 22715
17	PRUDENTIAL CAPITAL PARTNERS	)
18	(PARALLEL FUND) IV, L.P.,	)
19	Respondent.	)

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21 The Commissioner of Financial Protection and Innovation (Complainant) finds that:  
22 PRUDENTIAL CAPITAL PARTNERS (PARALLEL FUND) IV, L.P. (Respondent) did  
23 not file its Annual Report as required by Financial Code section 22159.

24 Complainant provided notice to Respondent of this violation on March 18, 2022.  
25 Financial Code section 22715 provides that:

26 The Commissioner may by order summarily suspend or revoke the  
27 license of any licensee if that person fails to file the report required by  
28 Section 22159 within 10 days after notice by the Commissioner that  
such report is due and not filed. If, after an order is made, a request for  
hearing is filed in writing within 30 days and the hearing is not held

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within 60 days thereafter, the order is deemed rescinded as of its effective date.

THEREFORE, GOOD CAUSE APPEARING, Respondent’s Finance Lenders and/or Brokers license(s) is hereby revoked as of the date of this Order, pursuant to Financial Code section 22715.

You are hereby ordered and directed to discontinue making or brokering of any loan made pursuant to the California Financing Law. (Financial Code §22000 et seq.) You are also ordered and directed to discontinue all activity conducted pursuant to Financial Code sections 22340 and 22600 within 60 days of the effective date of this Order.

Dated: April 19, 2022  
Los Angeles, California

CLOTHILDE V. HEWLETT  
Commissioner of Financial Protection and Innovation



By \_\_\_\_\_  
Kathryn Leou  
Special Administrator  
California Financing Law