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 11 BEFORE THE DEPARTMENT OF FINANCIAL PROTECTION AND INNOVATION
 12 OF THE STATE OF CALIFORNIA

13	In the Matter of:) OAH Case No. 2022080316
14	THE COMMISSIONER OF FINANCIAL) CRD No. 6004145
15	PROTECTION AND INNOVATION,) ORDER:
16	Complainant,)
17	v.) (1) REVOKING THE INVESTMENT
18	STUART JOEL GREENBERG, an individual,) ADVISER CERTIFICATE OF STUART
19	Respondent.) JOEL GREENBERG PURSUANT TO
20) CORPORATIONS CODE SECTION
21) 25232; AND
22) (2) BARRING STUART JOEL
23) GREENBERG FROM ANY POSITION
24) OF EMPLOYMENT, MANAGEMENT
25) OR CONTROL OF ANY
26) INVESTMENT ADVISER, BROKER-
) DEALER, OR COMMODITY
) ADVISER PURSUANT TO
) CORPORATIONS CODE SECTION
) 25232.1 □

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Pursuant to the September 6, 2022, Settlement Agreement entered between Complainant, the Commissioner of Financial Protection and Innovation and Respondent, Stuart Joel Greenberg, with an effective date of September 6, 2022, attached and incorporated herein as Exhibit A:

IT IS HEREBY ORDERED:

(1) The Investment Adviser Certificate, CRD number 6004145, issued to Stuart Joel Greenberg is revoked.

(2) Stuart Joel Greenberg is barred from any position of employment, management or control of any investment adviser, broker-dealer or commodity adviser, any officer, director, partner, employee of, or person performing similar functions for, an investment adviser, or any other person.

This Order is effective immediately.

Dated: September 7, 2022

CLOTHILDE V. HEWLETT
Commissioner of Financial Protection and Innovation



By _____
MARY ANN SMITH
Deputy Commissioner
Enforcement Division