

CLOTHILDE V. HEWLETT
Commissioner
MARY ANN SMITH
Deputy Commissioner
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Senior Counsel
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Attorneys for Complainant

BEFORE THE DEPARTMENT OF FINANCIAL PROTECTION AND INNOVATION
OF THE STATE OF CALIFORNIA

In the Matter of:	CRD NO.: 172040
THE COMMISSIONER OF FINANCIAL PROTECTION AND INNOVATION,	ORDER TO DISCONTINUE VIOLATIONS PURSUANT TO CORPORATIONS CODE SECTION 25249
Complainant,	
v.	
CAPSTONE WEALTH MANAGEMENT, INC,	
Respondent.	

TO: CAPSTONE WEALTH MANAGEMENT, INC,
ANNE TAHIM
2331 W. LINCOLN AVENUE SUITE 300
ANAHEIM, CA 92801

Clothilde V. Hewlett, Commissioner of Financial Protection and Innovation (Commissioner) finds that Investment Adviser, CAPSTONE WEALTH MANAGEMENT, INC (CAPSTONE WEALTH MANAGEMENT, INC), violated the following sections of the Corporate Securities Law of 1968 (Corp. Code, § 25000 et seq.) and the regulations promulgated under California Codes of Regulations (Cal. Code of Regs., tit. 10, § 260.000 et seq.)

Pursuant to Corporations Code section 25241 and Cal. Code of Regs., tit. 10, section 260.241.4, subdivision (e), CAPSTONE WEALTH MANAGEMENT, INC, is a licensed investment adviser required to file an annual updating amendment, in accordance with the instructions in Form ADV, with Investment Adviser Registration Depository (IARD) in accordance with its procedures for transmission to the Commissioner within ninety (90) days of the end of the investment adviser's fiscal year.

CAPSTONE WEALTH MANAGEMENT, INC is in violation of Corporations Code section 25241 and Cal. Code of Regs., tit. 10, section 260.241.4, subdivision (e).

NOW, BASED UPON THE FOREGOING, AND GOOD CAUSE APPEARING, it is hereby ORDERED, pursuant to Corporations Code section 25249, that CAPSTONE WEALTH MANAGEMENT, INC discontinue violations of Corporations Code section 25241 and Cal. Code of Regs., tit.10, section 260.241.4, subdivision (e).

The Commissioner finds this action is appropriate, in the public interest, for the protection of investors, and consistent with the purposes fairly intended by the policies and procedures of the Corporate Securities Law of 1968.

Dated: September 8, 2022

CLOTHILDE V. HEWLETT
Commissioner of Financial Protection and Innovation



By: _____
BALBIRO KAZLA
Deputy Commissioner
Broker-Dealer Investment Adviser Division