1	CLOTHILDE V. HEWLETT		
2	Commissioner		
	MARY ANN SMITH		
3	Deputy Commissioner JOANNE ROSS (State Bar No. 202338)		
4	Senior Counsel		
5	VANESSA LU (State Bar No. 295217)		
	Senior Counsel		
6	TAYLOR HERRLINGER (State Bar No. 314791) Counsel		
7	Department of Financial Protection and Innovation		
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	Sacramento, California 95834		
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10	Pacsimile: (910) 926-7929		
11	Attorneys for Complainant		
	Theorney's for complainant		
12			
13	BEFORE THE DEPARTMENT OF FINANCIAL PROTECTION AND INNOVATION		
14	OF THE STATE OF CALIFORNIA		
15	In the Matter of:	CRD NO.: 281300	
16			
	THE COMMISSIONER OF FINANCIAL	ORDER TO DISCONTINUE VIOLATIONS	
17	PROTECTION AND INNOVATION,	PURSUANT TO CORPORATIONS CODE SECTION 25249	
18	Complainant,	5261161(2521)	
19	-		
20	v.		
	RC WEALTH MANAGEMENT LLC,		
21			
22	Respondent.		
23			
	TO: RC WEALTH MANAGEMEN	VT LLC	
24	CASSANDRA R. CUMMINGS		
25	1590 SUNNYVALE AVENUE #33		
26	WALNUT CREEK, CA 94597	,	
27	Clothilde V. Hewlett, Commissioner of Financial Protection and Innovation (Commissioner		
28	finds that Investment Adviser, RC WEALTH MANAGEMENT LLC (RC WEALTH		
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	ORDER TO DISCONTINUE VIOLATIONS PURSUANT TO CORPORATIONS CODE SECTION 25249		

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MANAGEMENT), violated the following sections of the Corporate Securities Law of 1968 (Corp. Code, § 25000 et seq.) and the regulations promulgated under California Codes of Regulations (Cal. Code of Regs., tit. 10, § 260.000 et seq.)

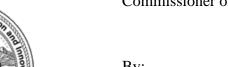
Pursuant to Corporations Code section 25241 and Cal. Code of Regs., tit. 10, section 260.241.4, subdivision (e), RC WEALTH MANAGEMENT, is a licensed investment adviser required to file an annual updating amendment, in accordance with the instructions in Form ADV, with Investment Adviser Registration Depository (IARD) in accordance with its procedures for transmission to the Commissioner within ninety (90) days of the end of the investment adviser's fiscal year.

RC WEALTH MANAGEMENT is in violation of Corporations Code section 25241 and Cal. Code of Regs., tit. 10, section 260.241.4, subdivision (e).

NOW, BASED UPON THE FOREGOING, AND GOOD CAUSE APPEARING, it is hereby ORDERED, pursuant to Corporations Code section 25249, that RC WEALTH MANAGEMENT LLC discontinue violations of Corporations Code section 25241 and Cal. Code of Regs., tit.10, section 260.241.4, subdivision (e).

The Commissioner finds this action is appropriate, in the public interest, for the protection of investors, and consistent with the purposes fairly intended by the policies and procedures of the Corporate Securities Law of 1968.

Dated: September 8, 2022



CLOTHILDE V. HEWLETT

Commissioner of Financial Protection and Innovation

By: ______
BALBIRO KAZLA
Deputy Commissioner
Broker-Dealer Investment Adviser Division