

CLOTHILDE V. HEWLETT
Commissioner
MARY ANN SMITH
Deputy Commissioner
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BEFORE THE DEPARTMENT OF FINANCIAL PROTECTION AND INNOVATION
OF THE STATE OF CALIFORNIA

In the Matter of:)	CRD NO. 158967
)	
THE COMMISSIONER OF FINANCIAL)	
PROTECTION AND INNOVATION,)	ORDER SUMMARILY SUSPENDING
)	INVESTMENT ADVISER
Complainant,)	CERTIFICATE
v.)	
)	(Corp. Code, § 25242, subdivision (c))
TIME SERIES SOLUTIONS, INC.,)	
)	
Respondent.)	
)	

The Commissioner of Financial Protection and Innovation (Commissioner) finds:

1. TIME SERIES SOLUTIONS, INC. (TIME SERIES), is a New York Corporation with its principal place of business at 313 WEST 75TH STREET, SUITE #1B, NEW YORK, NY 10023.
2. On September 26, 2013, the Commissioner issued an investment adviser certificate to TIME SERIES pursuant to Corporations Code section 25230, Central Registration Depository (CRD) Number 158967.

3. LARISSA POSNER, CRD Number 6161107, is the owner of TIME SERIES.

4. According to the Investment Adviser Registration Depository (IARD), investment adviser has not filed an annual updating amendment to its Form ADV for 2021. Pursuant to California Code of Regulations, title 10, section 260.241.4, subdivision (e), a licensed investment adviser shall file an annual updating amendment, in accordance with the instruction in Form ADV, with IARD in accordance with its procedures for transmission to the Commissioner within ninety (90) days of the end of the investment adviser's fiscal year.

5. By March 31, 2022, the investment adviser had not updated its Form ADV on IARD.

6. On September 8, 2022, the Commissioner issued an Order to Discontinue Violation against TIME SERIES and served it by certified mail return receipt and electronic mail.

7. To date, the Commissioner still has not received a response from TIME SERIES and TIME SERIES is in violation of the Order to Discontinue Violation.

8. The Commissioner finds that TIME SERIES violated Cal. Code of Regs., tit. 10, section 260.241.4, subdivision (e), investment advisers are required to file any report required under Corporations Code section 25241 within 10 days after notice by the Commissioner that the report is due.

9. Under Corporations Code section 25242, subdivision (c)(2), the Commissioner may summarily suspend the certificate of the investment adviser if the investment adviser fails to file any report required under Cal. Code of Regs., tit. 10, section 260.241.4 and Corporations Code section 25241 within 10 days after notice by the Commissioner that the report is due.

10. Under Corporations Code section 25242, subdivision (c)(6), the Commissioner may summarily suspend the certificate the investment adviser if the investment adviser fails to maintain records as required by Cal. Code of Regs., tit. 10, section 260.241.4 and Corporations Code section 25241.

NOW, BASED UPON THE FOREGOING AND GOOD CAUSE APPEARING, IT IS HEREBY ORDERED under Corporations Code section 25242, subdivision (c), that the investment adviser certificate of TIME SERIES SOLUTIONS, INC. is summarily suspended for thirty (30) days. This Order is effective immediately. TIME SERIES SOLUTIONS, INC. is precluded from

soliciting or facilitating new business, including existing clients. This summary suspension does not preclude TIME SERIES SOLUTIONS, INC. from engaging in activities necessary to wind down the business, such as liquidating transactions, transferring accounts, forwarding checks received to the clearing firm, responding to regulatory inquiries, filing Form U-5s for registered representatives, fielding client requests for account servicing or documents, for up to 30 days after the date of this Order.

The Commissioner finds this action is appropriate, in the public interest, for the protection of investors, and consistent with the purposes fairly intended by the policies and procedures of the Corporate Securities Law of 1968 (Corp. Code, § 25000 et seq.).

Dated: November 7, 2022

CLOTHILDE V. HEWLETT
Commissioner of Financial Protection and Innovation



By: _____
BALBIRO KAZLA
Deputy Commissioner
Broker-Dealer Investment Adviser