

**SUMMARY OF ENFORCEMENT ACTIONS (ADMINISTRATIVE AND CIVIL)
BY THE CALIFORNIA DEPARTMENT OF FINANCIAL PROTECTION AND INNOVATION
December 2022**

| SUBJECT | ACTION | VIOLATIONS & CHARGING SECTIONS |
|---|---|--|
| Direct Merchants Funding LLC Hollywood, Florida | Order Revoking California Financing Law License of Direct Merchants Funding LLC December 1, 2022 Order Rescinding Order Revoking California Financing Law License of Direct Merchants Funding LLC December 5, 2022 | Unlawful California Financing Law Activity (Financial Code Section 22714) |
| Strong Wealth Management LLC La Canada, California | Consent Order December 1, 2022 | Unlawful Investment Adviser Activity (Corporations Code Sections 25242 and 25252) |

| SUBJECT | ACTION | VIOLATIONS & CHARGING SECTIONS |
|--|---|---|
| Ronald Kevin Fleming Sheridan, Wyoming Las Vegas, Nevada | Settlement Agreement December 7, 2022 | Unlawful Offer and Sale of Securities (Corporations Code Sections 25110 and 25401) |
| Salt Lending LLC South Denver, Colorado | Agreement December 8, 2022 | Unlawful California Financing Law Activity (Financial Code Sections 22710 and 22714) |
| Fanfaire, Inc. Pacific Palisades, California | Settlement Agreement December 12, 2022 | Unlawful California Consumer Financial Protection Law Activity (Financial Code Section 90012(c)) |
| Reliant Life Shares, LLC Sherman Oaks, California | Desist and Refrain Order December 15, 2022 | Unlawful Offer and Sale of Securities (Corporations Code Section 25401) |

| SUBJECT | ACTION | VIOLATIONS & CHARGING SECTIONS |
|---|--|--|
| BlockFi Lending LLC Jersey City, New Jersey | Accusation to Revoke California Financing Law License Notice of Intention to Issue Order Revoking California Financing Law License December 15, 2022 | Unlawful California Financing Law Activity (Financial Code Section 22714) |
| David Lee Hardin, Jr. Ladera Ranch, California | Order Granting Reinstatement of David Lee Hardin, Jr. from any Position of Employment, Management, or Control of any Finance Lender, Broker, or Program Administrator December 16, 2022 | Unlawful California Financing Law Activity (Financial Code Section 22169) |
| Tacoma Capital, Inc. Wichita, Kansas | Consent Order December 16, 2022 | Unlawful California Financing Law Activity (Financial Code Section 22714) |

| SUBJECT | ACTION | VIOLATIONS & CHARGING SECTIONS |
|---|--|--|
| Coretech Leasing, Inc. Newport Beach, California | Consent Order December 16, 2022 | Unlawful California Financing Law Activity (Financial Code Section 22714) |
| Residential Capital Partners, LLC Dallas, Texas | Consent Order December 16, 2022 | Unlawful California Financing Law Activity (Financial Code Section 22714) |
| Lockbox Capital, LLC Daren Lam Tustin, California | Consent Order December 19, 2022 | Unlawful Investment Adviser Activity (Corporations Code Sections 25249, 25250, 25235, and 25248) |
| Catapult Consulting Associates LLC San Ramon, California | Consent Order December 19, 2022 | Unlawful California Financing Law Activity (Financial Code Section 22714) |

| SUBJECT | ACTION | VIOLATIONS & CHARGING SECTIONS |
|---|---|---|
| US Capital Global Partners LLC San Francisco, California | Consent Order December 20, 2022 | Unlawful California Financing Law Activity (Financial Code Section 22714) |
| US Capital Global Investment Management LLC San Francisco, California | Consent Order December 20, 2022 | Unlawful California Financing Law Activity (Financial Code Section 22714) |
| CONST LLC dba MyConstant Constant ConstantP2P Zon Chu Duy Huynh Riverside, California New York, New York | Desist and Refrain Order December 21, 2022 | Unlawful California Consumer Financial Protection Law Activity and Unlawful Offer and Sale of Securities (Financial Code Section 90003) (Corporations Code Section 25110) |

| SUBJECT | ACTION | VIOLATIONS & CHARGING SECTIONS |
|---|--|--|
| Tokyo Century (USA) Inc. Purchase, New York | Consent Order December 22, 2022 | Unlawful California Financing Law Activity (Financial Code Section 22714) |
| Vintage Fund IV LLC Ladera Ranch, California | Consent Order December 23, 2022 | Unlawful California Financing Law Activity (Financial Code Section 22714) |
| Kiso Capital, LP San Jose, California | Consent Order December 23, 2022 | Unlawful California Financing Law Activity (Financial Code Section 22714) |
| Gateway Acceptance Company Pleasant Hill, California | Consent Order December 23, 2022 | Unlawful California Financing Law Activity (Financial Code Section 22714) |

| SUBJECT | ACTION | VIOLATIONS & CHARGING SECTIONS |
|---|---|--|
| Gateway Commercial Services, Inc. Pleasant Hill, California | Consent Order December 23, 2022 | Unlawful California Financing Law Activity (Financial Code Section 22714) |
| Greenwood, Inc. Atlanta, Georgia | Settlement Agreement December 23, 2022 | Unlawful California Financial Institutions Law Activity (Financial Code Sections 561 and 563) |
| SV Financial Services LLC Saratoga, California | Consent Order December 29, 2022 | Unlawful California Financing Law Activity (Financial Code Section 22714) |
| United Studios of Self Defense, Inc. Charles Anthony Mattera Irvine, California Coto de Caza, California | Settlement Agreement December 29, 2022 | Unlawful Franchise Investment Law Activity (Corporations Code Sections 31402, 31406, 31110, 31119, 31200 and 31201) |

| SUBJECT | ACTION | VIOLATIONS & CHARGING SECTIONS |
|--|---|--|
| Meritus Capital, Inc. Fort Worth, Texas | Order Withdrawing Notice of Intention to Issue Order Revoking California Financing Law License December 16, 2022 | Unlawful California Financing Law Activity (Financial Code Section 22714) |
| Magnum Capital Group LLC Westlake Village, California | Order Withdrawing Notice of Intention to Issue Order Revoking California Financing Law License December 16, 2022 | Unlawful California Financing Law Activity (Financial Code Section 22714) |
| Key Bridge Fund, LLC Beverly Hills, California | Order Withdrawing Notice of Intention to Issue Order Revoking California Financing Law License December 16, 2022 | Unlawful California Financing Law Activity (Financial Code Section 22714) |
| Exceed Companies LLC Glendale, California | Order Revoking California Financing Law License December 27, 2022 | Unlawful California Financing Law Activity (Financial Code Section 22714) |

| SUBJECT | ACTION | VIOLATIONS & CHARGING SECTIONS |
|---|---|--|
| Prospect Financial Group Inc. La Jolla, California | Order Withdrawing Notice of Intention to Issue Order Revoking California Financing Law License December 27, 2022 | Unlawful California Financing Law Activity (Financial Code Section 22714) |