

Schedule T - Fiduciary and Related Services
(Trust Departments)
As of September 30, 2023

	(Column A) Managed Assets (000s)	(Column B) Non-Managed Assets (000s)	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	3,729,027	479,681	2,393	76	4.
5. Employee benefit and retirement- related trust and agency accounts:					5.
a. Employee benefit - defined contribution...	221,122	701,967	89	87	5.a.
b. Employee benefit - defined benefit.....	104,132	1,823	11	2	5.b.
c. Other employee benefit and retirement-related accounts.....	411,950	161,579	852	46	5.c.
6. Corporate trust and agency accounts.....	0	67,059	0	3	6.
7. Investment management and investment advisory agency accounts.....	3,783,030	57,200	1,634	11	7.
8. Foundation and endowment trust and agency accounts.....	496,071	38,807	262	6	8.
9. Other fiduciary accounts.....	12,280	98	12	8	9.
10. Total fiduciary accounts (sum of items 4 through 9).....	8,757,612	1,508,214	5,412	239	10.
11. Custody and safekeeping accounts.....	130	18,212,898	1	35,711	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11).....	357,367	16,506,335	844	34,588	13.

Schedule T - Fiduciary and Related Services - Continued (Trust Departments) As of September 30, 2023

Memoranda

	(Column A) Personal Trust and Agency and Investment Management Agency Accounts	(Column B) Employee Benefit and Retirement- Related Trust and Agency Accounts	(Column C) All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	1,839	75	0	M.1.a.
b. Interest-bearing deposits.....	117,253	4,685	22,943	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	1,340,234	27,525	44,720	M.1.c.
d. State, county and municipal obligations.....	286,729	1,974	5,149	M.1.d.
e. Money market mutual funds.....	1,192,763	53,614	62,070	M.1.e.
f. Equity mutual funds.....	1,074,541	292,612	106,747	M.1.f.
g. Other mutual funds.....	617,484	105,330	67,375	M.1.g.
h. Common trust funds and collective investment funds.....	0	0	0	M.1.h.
i. Other short-term obligations.....	0	0	0	M.1.i.
j. Other notes and bonds.....	260,114	51,753	20,847	M.1.j.
k. Investments in unregistered funds and private equity investments.....	180,509	182	68	M.1.k.
l. Other common and preferred stocks.....	1,527,013	199,347	178,433	M.1.l.
m. Real estate mortgages.....	2,197	0	0	M.1.m.
n. Real estate.....	640,702	53	0	M.1.n.
o. Miscellaneous assets.....	181,578	57	0	M.1.o.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o).....	7,441,056	737,207	508,352	M.1.p.
		(Column A) Managed Assets	(Column B) Number of Managed Assets	
q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....		0	0	M.1.q.

Schedule T - Fiduciary and Related Services - Continued (Trust Departments) As of September 30, 2023

Memoranda (continued)

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....	0	0	M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....	0	0	M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency.....	0		M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....	0	0	M.3.a
b. International/Global equity.....	0	0	M.3.b.
c. Stock/Bond blend.....	0	0	M.3.c.
d. Taxable bond.....	0	0	M.3.d.
e. Municipal bond	0	0	M.3.e.
f. Short term investments/Money market.....	0	0	M.3.f.
g. Specialty/Other.....	0	0	M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....	0	0	M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	509,682	9,663,772	P.1.
P.2. Less: real estate.....	87,713		P.2.
P.3. Trust business on which security is required.....	418,969	9,663,772	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	3,100	900	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	8,375	3,887	P.5.
P.6. Excess or deficiency; denote negative amount in ().....	5,275	2,987	P.6.

Schedule T - Fiduciary and Related Services - Continued (Trust Departments) As of September 30, 2023

INCOME

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	24,655	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution.....	1,931	15.a.
b. Employee benefit-defined benefit.....	379	15.b.
c. Other employee benefit and retirement-related accounts.....	2,826	15.c.
16. Corporate trust and agency accounts.....	18	16.
17. Investment management and investment advisory agency accounts.....	11,966	17.
18. Foundation and endowment trust and agency accounts.....	1,721	18.
19. Other fiduciary accounts.....	21	19.
20. Custody and safekeeping accounts.....	30,374	20.
21. Other fiduciary and related services income.....	262	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21).....	74,153	22.
23. Less: Expenses.....	54,172	23.
24. Less: Net losses from fiduciary and related services.....	-51	24.
25. Plus: Intracompany income credits for fiduciary and related services.....	378	25.
26. Net fiduciary and related services income.....	20,410	26.

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	2	0	0	M.4.a.
b. Employee benefit and retirement-related trust and agency accounts.....	0	0	0	M.4.b.
c. Investment management and investment advisory agency accounts.....	0	0	0	M.4.c.
d. Other fiduciary accounts and related services.....	0	-50	3	M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....	2	-50	3	M.4.e.