

**Schedule T - Fiduciary and Related Services**  
**(Trust Companies)**  
**As of September 30, 2023**

	(Column A) Managed Assets (000s)	(Column B) Non-Managed Assets (000s)	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
<b>FIDUCIARY AND RELATED ASSETS</b>					
4. Personal trust and agency accounts.....	7,007,288	3,727,706	1,867	796	4.
5. Employee benefit and retirement- related trust and agency accounts:					5.
a. Employee benefit - defined contribution...	66,996	9,355	26	21	5.a.
b. Employee benefit - defined benefit.....	10,986	504,163	3	11	5.b.
c. Other employee benefit and retirement-related accounts.....	860,200	1,841,953	791	218	5.c.
6. Corporate trust and agency accounts.....	0	1	0	1	6.
7. Investment management and investment advisory agency accounts.....	9,559,212	854,746	1,966	149	7.
8. Foundation and endowment trust and agency accounts.....	1,313,392	126,031	254	16	8.
9. Other fiduciary accounts.....	0	1,280	0	2	9.
10. Total fiduciary accounts (sum of items 4 through 9).....	16,889,388	7,065,235	4,907	1,214	10.
11. Custody and safekeeping accounts.....	1,078	16,695,867	4	370	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11).....	174,466	1,819,318	296	212	13.

## Schedule T - Fiduciary and Related Services - Continued (Trust Companies) As of September 30, 2023

Memoranda

	(Column A) Personal Trust and Agency and Investment Management Agency Accounts	(Column B) Employee Benefit and Retirement- Related Trust and Agency Accounts	(Column C) All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	16,708	414	70	M.1.a.
b. Interest-bearing deposits.....	109,531	10,847	2,080	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	1,206,360	29,108	87,265	M.1.c.
d. State, county and municipal obligations.....	656,051	6,492	10,840	M.1.d.
e. Money market mutual funds.....	1,241,223	33,726	98,951	M.1.e.
f. Equity mutual funds.....	816,621	93,705	162,303	M.1.f.
g. Other mutual funds.....	723,489	61,344	110,645	M.1.g.
h. Common trust funds and collective investment funds.....	2,171	0	0	M.1.h.
i. Other short-term obligations.....	-4,903	0	0	M.1.i.
j. Other notes and bonds.....	159,045	9,914	21,022	M.1.j.
k. Investments in unregistered funds and private equity investments.....	26,060	0	0	M.1.k.
l. Other common and preferred stocks.....	7,452,147	367,450	612,748	M.1.l.
m. Real estate mortgages.....	162,219	64	2,214	M.1.m.
n. Real estate.....	1,684,372	599	16,299	M.1.n.
o. Miscellaneous assets.....	2,306,480	15,834	188,956	M.1.o.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o).....	16,567,574	629,497	1,313,393	M.1.p.
		(Column A) Managed Assets	(Column B) Number of Managed Assets	
q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....		186,015	514	M.1.q.

## Schedule T - Fiduciary and Related Services - Continued (Trust Companies) As of September 30, 2023

Memoranda (continued)

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....	0	0	M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....	0	0	M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency...	0		M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....	0	0	M.3.a.
b. International/Global equity.....	0	0	M.3.b.
c. Stock/Bond blend.....	0	0	M.3.c.
d. Taxable bond.....	0	0	M.3.d.
e. Municipal bond .....	0	0	M.3.e.
f. Short term investments/Money market.....	0	0	M.3.f.
g. Specialty/Other.....	0	0	M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....	0	0	M.3.h.

**P. Trust Business for Which Securities Are on Deposit with the State Treasurer**

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	75,385	42,401,203	P.1.
P.2. Less: real estate.....	22,974		P.2.
P.3. Trust business on which security is required.....	52,411	42,401,203	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	1,550	650	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	1,986	663	P.5.
P.6. Excess or deficiency; denote negative amount in ( ).....	436	13	P.6.

## Schedule T - Fiduciary and Related Services - Continued (Trust Companies) As of September 30, 2023

### INCOME

<b>FIDUCIARY AND RELATED SERVICES INCOME</b>	(000s)	
14. Personal trust and agency accounts.....	40,701	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution.....	566	15.a.
b. Employee benefit-defined benefit.....	316	15.b.
c. Other employee benefit and retirement-related accounts.....	4,470	15.c.
16. Corporate trust and agency accounts.....	0	16.
17. Investment management and investment advisory agency accounts.....	42,383	17.
18. Foundation and endowment trust and agency accounts.....	7,684	18.
19. Other fiduciary accounts.....	1	19.
20. Custody and safekeeping accounts.....	1,984	20.
21. Other fiduciary and related services income.....	8,454	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21).....	106,559	22.
23. Less: Expenses.....	86,484	23.
24. Less: Net losses from fiduciary and related services.....	71	24.
25. Plus: Intracompany income credits for fiduciary and related services.....	0	25.
26. Net fiduciary and related services income.....	19,984	26.

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	73	0	0	M.4.a.
b. Employee benefit and retirement-related trust and agency accounts.....	0	0	0	M.4.b.
c. Investment management and investment advisory agency accounts.....	18	0	0	M.4.c.
d. Other fiduciary accounts and related services.....	0	0	0	M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....	91	0	0	M.4.e.