

STATE OF CALIFORNIA
BUSINESS, CONSUMER SERVICES AND HOUSING AGENCY
DEPARTMENT OF FINANCIAL PROTECTION AND INNOVATION

CRD No. 149325

Re: ROCKFLEET FINANCIAL SERVICES, INC

ORDER SUMMARILY
REVOKING CERTIFICATE

IN ACCORDANCE WITH SECTION 25242(c)(1) OF THE CORPORATE SECURITIES LAW OF 1968, the Broker-Dealer Certificate issued to the above-named broker-dealer is hereby summarily revoked for non-payment of the renewal fees required by Section 25608(o)(3) of the Corporate Securities Law of 1968.

Dated: January 17, 2024
Sacramento, California

Clothilde V. Hewlett
Commissioner
Department of Financial Protection and Innovation

By _____
Michael Nelson
Acting Deputy Commissioner