

**SUMMARY OF ENFORCEMENT ACTIONS (ADMINISTRATIVE AND CIVIL)**  
**BY THE CALIFORNIA DEPARTMENT OF FINANCIAL PROTECTION AND INNOVATION**  
**January 2024**

<b>SUBJECT</b>	<b>ACTION</b>	<b>VIOLATIONS &amp; CHARGING SECTIONS</b>
Mortgage Bank of California  Boca Raton, Florida	Consent Order  January 4, 2024	Unlawful California Financing Law Activity  (Financial Code Sections 22712 and 22107)
D & G Escrow Corporation  Agoura Hills, California	Order Revoking Escrow Agent's License  January 4, 2024  Order Imposing Penalties  January 12, 2024	Unlawful Escrow Law Activity  (Financial Code Sections 17602.5, 17608(b) and (c), 17210(a), 17348, 17408, 17406(a) and (e), and 17421.5(a))
Easy Home Loans LLC  Irvine, California	Consent Order  January 5, 2024	Unlawful California Financing Law Activity  (Financial Code Sections 22712 and 22107)

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Credova Financial, LLC  Bozeman, Montana	Consent Order  January 9, 2024	Unlawful California Consumer Protection Law Activity  (Financial Code Sections 90015(d)(1) and 90003(a)(1))
Sertant Capital, LLC  Newport Beach, California	Consent Order  January 9, 2024	Unlawful California Financing Law Activity  (Financial Code Sections 22712 and 22107)
Legendary Partners LLC Scott Snyder  Santa Ana, California	Settlement Agreement  January 10, 2024	Unlawful Offer and Sale of Securities  (Corporations Code Sections 25110 and 25401)
AB Commercial Real Estate Private Debt Fund, LLC  Nashville, Tennessee	Consent Order  January 10, 2024	Unlawful California Financing Law Activity  (Financial Code Sections 22712 and 22107)

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A-Frame Capital, LLC  San Francisco, California	Consent Order  January 11, 2024	Unlawful California Financing Law Activity  (Financial Code Sections 22712 and 22107)
AB Commercial Real Estate Debt Fund IV SICAV- SIF S.C. S. P.  New York, New York	Consent Order  January 12, 2024	Unlawful California Financing Law Activity  (Financial Code Sections 22712 and 22107)
Ralph Muscatelli  Santa Ana, California	Order Rescinding Desist and Refrain Order and Claim for Ancillary  January 15, 2024	Unlawful Offer and Sale of Securities  (Corporations Code Sections 25110, 25401 and 25403)
PRIME33 Inc.  Costa Mesa, Inc.	Consent Order  January 16, 2024	Unlawful California Financing Law Activity  (Financial Code Section 22107)

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Frank Mercuri III  Indianapolis, Indiana	Consent Order  January 16, 2024	Unlawful Investment Adviser Activity  (Corporations Code Sections 25210 and 25532)
Collaborative Financial Consulting, LLC Jason Reynolds  Beverly Hills, California	Order Barring Jason Reynolds from any Position of Employment, Management or Control of any Investment Adviser, Broker-Dealer, or Commodity Adviser  January 17, 2024	Unlawful Investment Adviser Activity  (Corporations Code Section 25232.1)
Xila Financial  Foster City, California	Consent Order  January 18, 2024	Unlawful California Financing Law Activity  (Financial Code Sections 22712 and 22107)

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New Community Investments, LLC  Los Angeles, California	Consent Order  January 22, 2024	Unlawful California Financing Law Activity  (Financial Code Sections 22712 and 22107)
Robert Matthew Krieger  New York, New York	Joint Stipulation and Request for Entry of Order of Expungement and Dismissal; Order Thereon  January 22, 2024	Unlawful Investment Adviser Activity  (Corporations Code Section 25009.5)
EZ HomeLoans, LLC  Southlake, Texas	Consent Order  January 26, 2024	Unlawful California Financing Law Activity  (Financial Code Sections 22712 and 22107)
Azura Lending LLC  Yorba Linda, California	Consent Order  January 26, 2024	Unlawful California Financing Law Activity  (Financial Code Sections 22712 and 22107)

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Kwick Funding LLC  Sacramento, California	Consent Order  January 26, 2024	Unlawful California Financing Law Activity  (Financial Code Sections 22712 and 22107)
Alvin D. Parra  South Pasadena, California	Consent Order  January 30, 2024	Unlawful Broker-Dealer Activity  (Corporation Code Section 25210)
VBit Technologies Corp. VBit Mining LLC VBit DC Corp. Advanced Mining Group <a href="http://www.vbittech.com">www.vbittech.com</a> <a href="http://www.vbitmining.com">www.vbitmining.com</a> <a href="http://www.advancedmining.com">www.advancedmining.com</a>  Philadelphia, Pennsylvania	Desist and Refrain Order  January 31, 2024	Unlawful Offer and Sale of Securities  (Corporations Code Sections 25110 and 25401)

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Natanya Angie Vidales  Chandler, Arizona	Consent Order Granting Conditional MLO License  January 2, 2024	Mortgage Loan Originator License  (Financial Code Sections 50141, 50144, 22109.1 and 22109.4)
Alex John Quast  Tempe, Arizona	Consent Order Granting Conditional MLO License  January 4, 2024	Mortgage Loan Originator License  (Financial Code Sections 50141, 50144, 22109.1 and 22109.4)
Jason Dejuan Marshall  N/A	Consent Order Granting Conditional MLO License  January 8, 2024	Mortgage Loan Originator License  (Financial Code Sections 50141, 50144, 22109.1 and 22109.4)

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Danny Kim  Bayside, New York	Consent Order Granting Conditional MLO License  January 9, 2024	Mortgage Loan Originator License  (Financial Code Sections 50141, 50144, 22109.1 and 22109.4)
Teamlogic, Inc. aka Teamlogic  Mission Viejo, California	Consent Order  January 11, 2024	Unlawful Franchise Investment Law Activity  (Corporations Code Sections 31406 and 31210)
Cornerstone Wealth Partners, LLC Evan Gene Vanderwey  Okemos, Michigan	Consent Order  January 12, 2024	Unlawful Investment Adviser Activity  (Corporations Code Sections 25532, 25230 and 25252)



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Aventine Wealth Management LLC  San Francisco, California	Order Summarily Revoking Certificate  January 17, 2024  Order Setting Aside Order Summarily Revoking Certificate  January 26, 2024	Unlawful Investment Adviser Certificate  (Corporations Code Sections 25242(c)(1) and 25608(q)(1))
Gregory Anthony Block dba Gregory A. Block RIA  Solana Beach, California	Order Summarily Revoking Certificate  January 17, 2024	Unlawful Investment Adviser Certificate  (Corporations Code Sections 25242(c)(1) and 25608(q)(1))
Burpee Del Simone Wealth Management LLC  Boston, Massachusetts	Order Summarily Revoking Certificate  January 17, 2024	Unlawful Investment Adviser Certificate  (Corporations Code Sections 25242(c)(1) and 25608(q)(1))

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CVEX Markets LLC  Austin, Texas	Order Summarily Revoking Certificate  January 17, 2024	Unlawful Investment Adviser Certificate  (Corporations Code Sections 25242(c)(1) and 25608(o)(3))
Edward Daoud dba Capstone Financial  San Clemente, California Capistrano Beach, California	Order Summarily Revoking Certificate  January 17, 2024	Unlawful Investment Adviser Certificate  (Corporations Code Sections 25242(c)(1) and 25608(q)(1))
GP Analytics LLC  San Rafael, California	Order Summarily Revoking Certificate  January 17, 2024	Unlawful Investment Adviser Certificate  (Corporations Code Sections 25242(c)(1) and 25608(q)(1))
Grow Give Build Investments, LLC  San Diego, California	Order Summarily Revoking Certificate  January 17, 2024	Unlawful Investment Adviser Certificate  (Corporations Code Sections 25242(c)(1) and 25608(q)(1))

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Heil Financial Planning & Investment Services, Inc.  San Diego, California	Order Summarily Revoking Certificate  January 17, 2024	Unlawful Investment Adviser Certificate  (Corporations Code Sections 25242(c)(1) and 25608(q)(1))
Eric Nathaniel Hunter dba Decal Financial Management  La Mesa, California	Order Summarily Revoking Certificate  January 17, 2024  Order Setting Aside Order Summarily Revoking Certificate  January 24, 2024	Unlawful Investment Adviser Certificate  (Corporations Code Sections 25242(c)(1) and 25608(q)(1))
Investment Only Annuities, LLC  Sacramento, California	Order Summarily Revoking Certificate  January 17, 2024	Unlawful Investment Adviser Certificate  (Corporations Code Sections 25242(c)(1) and 25608(q)(1))

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Jumpstart Securities, LLC  Atlanta, Georgia	Order Summarily Revoking Certificate  January 17, 2024  Order Setting Aside Order Summarily Revoking Certificate  January 24, 2024	Unlawful Investment Adviser Certificate  (Corporations Code Sections 25242(c)(1) and 25608(o)(3))
Knightsbridge Ventures Ltd. Co.  Austin, Texas	Order Summarily Revoking Certificate  January 17, 2024	Unlawful Investment Adviser Certificate  (Corporations Code Sections 25242(c)(1) and 25608(q)(1))
Lateral Investment Management, LLC  San Mateo, California	Order Summarily Revoking Certificate  January 17, 2024  Order Setting Aside Order Summarily Revoking Certificate  January 24, 2024	Unlawful Investment Adviser Certificate  (Corporations Code Sections 25242(c)(1) and 25608(q)(1))

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MAM Global Financial Services, LLC  Elk Grove, California	Order Summarily Revoking Certificate  January 17, 2024	Unlawful Investment Adviser Certificate  (Corporations Code Sections 25242(c)(1) and 25608(q)(1))
Daniel Brian Martin dba D.B. Martin Investment Coaching  Hollister, California	Order Summarily Revoking Certificate  January 17, 2024	Unlawful Investment Adviser Certificate  (Corporations Code Sections 25242(c)(1) and 25608(q)(1))
Montealegre Finanzas LLC  Gardena, California	Order Summarily Revoking Certificate  January 17, 2024	Unlawful Investment Adviser Certificate  (Corporations Code Sections 25242(c)(1) and 25608(q)(1))

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Osage Pacific Advisors, Inc.  Laguna Hills, California	Order Summarily Revoking Certificate  January 17, 2024  Order Setting Aside Order Summarily Revoking Certificate  January 24, 2024	Unlawful Investment Adviser Certificate  (Corporations Code Sections 25242(c)(1) and 25608(q)(1))
RG Advisors, LLC  Gardnerville, Nevada	Order Summarily Revoking Certificate  January 17, 2024	Unlawful Investment Adviser Certificate  (Corporations Code Sections 25242(c)(1) and 25608(q)(1))
Rockfleet Financial Services, Inc.  New York, New York	Order Summarily Revoking Certificate  January 17, 2024	Unlawful Investment Adviser Certificate  (Corporations Code Sections 25242(c)(1) and 25608(o)(3))

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Brett Thomas Rounsaville dba Brett Rounsaville, Investment Adviser  Oakland, California	Order Summarily Revoking Certificate  January 17, 2024	Unlawful Investment Adviser Certificate  (Corporations Code Sections 25242(c)(1) and 25608(q)(1))
SMS Financial LLC  Monterey, California	Order Summarily Revoking Certificate  January 17, 2024	Unlawful Investment Adviser Certificate  (Corporations Code Sections 25242(c)(1) and 25608(q)(1))
Strong Wealth Management LLC  La Canada Flintridge, California	Order Summarily Revoking Certificate  January 17, 2024  Order Setting Aside Order Summarily Revoking Certificate  January 31, 2024	Unlawful Investment Adviser Certificate  (Corporations Code Sections 25242(c)(1) and 25608(q)(1))

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The M12 Project, LLC  Newport Beach, California	Order Summarily Revoking Certificate  January 17, 2024	Unlawful Investment Adviser Certificate  (Corporations Code Sections 25242(c)(1) and 25608(q)(1))
WealthClub Finance LLC  Irvine, California	Order Summarily Revoking Certificate  January 17, 2024  Order Setting Aside Order Summarily Revoking Certificate  January 31, 2024	Unlawful Investment Adviser Certificate  (Corporations Code Sections 25242(c)(1) and 25608(q)(1))
MEM Investment Research L.L.C.  Granada Hills, California	Order Summarily Revoking Certificate  January 17, 2024	Unlawful Investment Adviser Certificate  (Corporations Code Sections 25242(c)(1) and 25608(q)(1))



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Escapology, LLC aka Escapology  Orlando, Florida	Consent Order  January 19, 2024	Unlawful Franchise Investment Law Activity  (Corporations Code Sections 31406, 31109.1, 31123, 31200, 31201, 31150 and 31119)