

**State of California
Office of Administrative Law**

In re:
Department of Financial Protection and
Innovation

Regulatory Action:

Title 10, California Code of Regulations

Adopt sections: 260.236.2

Amend sections:

Repeal sections:

NOTICE OF APPROVAL OF REGULATORY
ACTION

Government Code Section 11349.3

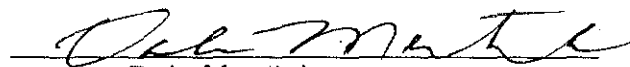
OAL Matter Number: 2024-0328-01

OAL Matter Type: Regular Resubmittal (SR)

This action adopts the North American Securities Administrators Association's (NASAA) Investment Adviser Representative Continuing Education Model Rule as the standard used for continuing education requirements for Investment Adviser Representatives (IARs).

OAL approves this regulatory action pursuant to section 11349.3 of the Government Code. This regulatory action becomes effective on 5/1/2024.

Date: May 1, 2024



Dale Mentink
Assistant Chief Counsel

For: Kenneth J. Pogue
Director

Original: Clothilde V. Hewlett,
Commissioner

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