

STATE OF CALIFORNIA – DEPARTMENT OF BUSINESS OVERSIGHT  
**REAL ESTATE RELATED INFORMATION REQUIRED PURSUANT  
TO CORPORATIONS CODE SECTION 25102.2**

DBO-SB 978 Form (Rev. 12-17)



DEPARTMENT OF BUSINESS OVERSIGHT

APP NO., if any:

RE-\_\_\_\_\_

(Insert App No(s) of Previous Filings  
Before the Division, if any)

Check: Transaction Under Section Code

25102(e)    25102(f)    25102(h)    25102(n)    25100(p)

Name of Issuer:

\_\_\_\_\_

Issuer's State (or Other Jurisdiction) of Incorporation or Organization: \_\_\_\_\_

Address of Principal Place of Business:

\_\_\_\_\_

Number and Street

City

State

Zip Code

Mailing Address:

\_\_\_\_\_

Number and Street

City

State

Zip Code

Area Code and Telephone Number: \_\_\_\_\_

Title of class(s) of securities to be sold in the transaction: \_\_\_\_\_

1. Names and titles of the issuer's officers and directors of the corporation, managers of a manager-managed limited liability company, members of a member-managed limited liability company, general partners in a limited partnership, or other persons performing similar functions for other types of issuers.

\_\_\_\_\_

Full Name

Title

If additional space is needed for the names, check this box and attach a separate sheet of paper to the form and label as Item 1.

2. The offering disclosure documents provided to prospective purchasers. Attach the documents to the form and label as Item 2.

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3. List all state and federal licenses required to offer the securities and engage in real estate related activities.

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License Number

License Expiration Date

Name and Jurisdiction of Licensing Authority (complete for each license):

California Department of Business Oversight's Division of Corporations California  
Financing Law  
Corporate Securities Law of 1968  
Broker-Dealer  
Investment Adviser  
California Department of Real Estate, Real Estate Law  
Securities and Exchange Commission  
FINRA (Financial Industry Regulatory Authority)  
Other \_\_\_\_\_

If additional space is needed for the licenses, check this box and attach a separate sheet of paper to the form and label as Item 3.

4. Names, titles and license numbers of all licensed persons who will undertake activities concerning the securities offering.

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Full Name

Title

License Number

If additional space is needed for the names, check this box and attach a separate sheet of paper to the form and label as Item 4.

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Authorized Signature on Behalf of Issuer <sup>1</sup>      Print Name and Title of Signatory      Date

Name, Address, Phone Number and E-mail of Contact Person

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<sup>1</sup> The form must be signed and dated by an authorized officer, director, general partner or trustee of the issuer (or a person occupying a position with the issuer of equivalent responsibility, or by the authorized attorney of the issuer).