

APPLICATION FOR AUTHORITY TO ORGANIZE BANK

DFPI-10 (Rev. 03-25)



(Facing Page)

To: Commissioner of Financial Protection and Innovation Date: _____

The undersigned hereby apply for authority to organize and establish a corporation under the laws of the State of California to engage in:

(Check one or more) Commercial Banking Business Trust Business Industrial Bank

(Street address or vicinity)

(City)

(County)

under the name _____

with shareholder's equity of \$ _____

The documents attached hereto are hereby referred to and by this reference incorporated herein.

The person authorized to represent the applicants in connection with this application is:

Name: _____ Telephone: _____

Address: _____

APPLICANTS

Name and Business or Occupation

Address

Signature

(This is a facing page only. For instructions on completing and signing this application, refer to Chapter 1, subchapter 10 of Title 10 of the California Code of Regulations. Signature must be acknowledged ("notarized").)

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DFPI's Privacy Notice on Collection

DFPI Collects and Uses Personal Information: The DFPI collects the information requested on this form as authorized by Chapter 1 of Title 10 of the California Code of Regulations. The DFPI uses this information to process applications for authority to organize and establish a corporation to engage in the banking or trust business pursuant to Division 1.1 of the Financial Code. Use of the Personal Information DFPI collects is subject to limitations contained in the Information Practices Act of 1977 and other applicable state and federal laws.

Providing Personal Information Is Mandatory: When providing information or documents, please do not include unrequested personal information such as a Social Security number, driver's license number, or financial information.

DFPI May Disclose Your Personal Information: We may share your personal information with other federal and state financial institution regulators, or any law enforcement agency. Your personal information may also be disclosed:

- In response to a Public Records Act request, as allowed by the Information Practices Act.
- To another governmental agency as required by state or federal law.
- In response to a court order or administrative order, a subpoena or a search warrant.

Your Access to Your Personal Information: You may review the records maintained by DFPI that contain your personal information. To request access, contact: DFPI Privacy Officer, 651 Bannan Street, Suite 300, Sacramento, CA 95811, (866) 275-2677.