

STATEMENT OF IDENTITY AND EMPLOYMENT APPLICATION

DFPI-EL 17419 (Rev. 03-25)



Name of Escrow Company: _____

Escrow Agent License Number: _____

1. Exact Full Name

(Please Print or Type) First Name Middle Name Last Name

(Do not use initials or nicknames)

Title of position to be filled in connection with the preparation of this employment application.

2. Employment for the last 10 years:

From	To	Employer Name and Address	Occupation and Duties
	Present		

Note: Attach separate schedule if space is not adequate.

3. Residence addresses for the last 10 years:

From	To	Street	City	State
	Present			

Note: Attach separate schedule if space is not adequate.

STATEMENT OF IDENTITY AND EMPLOYMENT APPLICATION

4. Have you ever been named in any order, judgment or decree of any court or any governmental agency or administrator, temporary or permanently restraining or enjoining you from engaging in or continuing any conduct, practice, or employment?

Yes

No

If the answer is “Yes” please complete the following:

Date of Suit: _____

Location of Court (City, County, State): _____

Nature of Suit: _____

Note: Attach a certified copy of any order, judgment, or decree.

5. Have you ever been refused a license to engage in any business in this state or any other state, or has any such license ever been suspended or revoked?

Yes

No

If the answer is “Yes” please complete the following:

State: _____ Title of State Department: _____

Nature of License and Number: _____

Note: Attach a certified copy of any order, judgment, or decree.

6. Have you ever been convicted of or pleaded nolo contendere to a crime other than minor traffic citations that do not constitute a misdemeanor or felony offense?

Note: “Convicted” includes a verdict of guilty by judge or jury, a plea of guilty or of nolo contendere or a forfeiture of bail. All convictions must be disclosed even if the plea or verdict was thereafter set aside and the charges against you dismissed or expunged or if you have been pardoned. Convictions occurring while you were a minor must be disclosed unless the record of conviction has been sealed under Section 1203.45 of the California Penal Code or Section 781 of the California Welfare and Institutions Code.

Yes

No

If the answer is “Yes” please complete the following:

Date of Suit: _____

Location of Court (City, County, State): _____

Nature of Case: _____

Note: Attach a certified copy of any order, judgment, or decree.

STATEMENT OF IDENTITY AND EMPLOYMENT APPLICATION

7. Have you ever been a defendant in a court action other than divorce, condemnation or personal injury?

Yes

No

If the answer is "Yes" please complete the following:

Date of Suit: _____

Location of Court (City, County, State): _____

Nature of Suit: _____

Note: Attach a certified copy of any order, judgment, or decree.

8. Have you ever changed your name or ever been known by any name other than herein listed? (Including a woman's maiden name)

Yes

No

If so explain. Change in name through marriage or court order should also be listed.

EXACT DATE OF EACH NAME CHANGE MUST BE LISTED.

9. Have you ever done business under a fictitious firm name either as an individual or in the partnership or corporate form?

Yes

No

If the answer is "Yes" set forth particulars:

10. Have you ever been a subject of a bankruptcy or a petition in bankruptcy?

Yes

No

If the answer is "Yes" give date, title of case, location of bankruptcy filing:

STATEMENT OF IDENTITY AND EMPLOYMENT APPLICATION

11. Have you ever been refused a bond, or have you ever had a bond revoked or canceled?

Yes No

If the answer is "Yes" give details:

12. In what capacity will you be employed? _____
(e.g. Clerk, Escrow Officer, Receptionist, etc.)

13. Do you expect to be a party to, or broker or salesman in connection with escrows conducted by the escrow company which is employing you?

Yes No

If the answer is "Yes" please explain:

Note: Attach separate schedule if space is not adequate.

Verification

I, the undersigned, state that I am the person named in the foregoing Statement of Identity and Employment Application; that I have read and signed said Statement of Identity and Employment Application and know the contents thereof, including all exhibits attached thereto, and that the statements made therein, including any exhibits attached thereto, are true.

Any person who provides false information is guilty of a felony and shall, upon conviction, be fined not more than ten thousand dollars (\$10,000) or imprisoned in the state prison for one year or more or in a county jail for not more than one year, or be punished by both such fine and imprisonment. Any person who knows or should have known of a violation of this section shall immediately report the violation in writing to the commissioner.

I certify/declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed at _____
(City)

(County) (State)

This _____ day of _____ 20____.

(Signature of Declarant)

STATEMENT OF IDENTITY AND EMPLOYMENT APPLICATION

DFPI's Privacy Notice on Collection

DFPI Collects and Uses Personal Information: The DFPI collects the information requested on this form as authorized by the California Financial Code sections 17414.1 and 17419. The DFPI uses this information to investigate the individual's background and qualifications associated with an applicant or a licensee. Use of the personal information DFPI collects is subject to limitations contained in the Information Practices Act of 1977 and other applicable state and federal laws.

Providing Personal Information Is Voluntary: You do not have to provide the personal information requested. If you do not want to provide personal information, such as your name, home address or home telephone number, you may remain anonymous. However, we may not be able to contact you or help resolve your issue.

When providing information or documents, please do not include unrequested personal information such as a Social Security number, driver's license number, or financial information.

DFPI May Disclose Your Personal Information: We may share your personal information with the Department of Justice's Identification and Information Branch, other federal, state or local law enforcement agencies, or a self-regulatory organization as authorized by law. Your personal information may also be disclosed:

- In response to a Public Records Act request, as allowed by the Information Practices Act.
- To another governmental agency as required by state or federal law.
- In response to a court order or administrative order, a subpoena or a search warrant.

Your Access to Your Personal Information: You may review the records maintained by DFPI that contain your personal information. To request access, contact: DFPI Privacy Officer, 651 Bannon Street, Suite 300, Sacramento, CA 95811, (866) 275-2677.