

STATE OF CALIFORNIA – DEPARTMENT OF FINANCIAL PROTECTION AND INNOVATION
ASSIGNMENT TO COMMISSIONER OF FINANCIAL PROTECTION AND INNOVATION
DFPI-EL 321 (Rev. 03-25)



Pursuant to Financial Code Section 17202.1

PART I. ASSIGNMENT

_____, herein after called Assignor,
(Name)
whose place of business is _____, does
hereby assign and set over to the Commissioner of Financial Protection and Innovation of the State of California or his or her
duly appointed conservator or receiver all right, title and interest of whatever nature of Assignor in and to the investment
certificate/certificate of deposit/bank account/savings and loan share account of the Assignor in

(Name of industrial loan company, bank or savings and loan association)

_____, California,
(Street) (City)
in the amount of \$_____, identified as certificate/account no._____. Assignor
agrees that this assignment carries with it the right in the insurance of the assigned accounts by the Federal Deposit
Insurance Corporation or the Federal Savings and Loan Insurance Corporation (or its successor) and includes and gives the
right to the Commissioner of Financial Protection and Innovation or his or her duly appointed conservator or receiver to
redeem, collect and withdraw the full amount of such deposit, certificate or account at any time without notice to the Assignor.
This assignment is given as security for any liability of the Assignor under the provision of the Escrow Law and in accordance
with Section 17202 and 17202.1 of the California Financial Code. This assignment includes interest and penalties payable on
any such liability. Assignor hereby notified the above-names industrial loan company, bank or savings and loan association of
this assignment.

Dated this _____ day of _____, 20_____.
(A copy of this resolution of Assignor's
Board of Directors, certified by the
Secretary of the Assignor, must be attached
to each copy of this Assignment.)

Assignor

Signature of Authorized Officer

PART II. RECEIPT FOR NOTICE OF ASSIGNMENT

Receipt is hereby acknowledged of the written notice of the assignment to the Commissioner of Financial Protection and
Innovation, State of California, of the investment certificates, certificates of deposit, bank accounts or savings and loan share
accounts identified in Part I hereof. We confirm that such account/certificate is outstanding and we have noted in our records
of the Commissioner and his or her duly appointed conservator or receiver therein as shown in said Assignment, and have
retained a copy of said Assignment and of this receipt. We certify that said accounts are fully insured by the Federal Deposit
Insurance Corporation or the Federal Savings and Loan Insurance Corporation (or its successor) and that we have received
no notice of any lien, encumbrance, hold, claim or obligation concerning said certificates or accounts prior to the assignment
thereof to the Commissioner of Financial Protection and Innovation. We further state that no lien or right of offset is or at any
subsequent time will be claimed by this industrial loan company, bank or savings and loan association against said
certificates or accounts due or to become due to this industrial loan company, bank or savings and loan association from the
Assignor or any of its officers, directors, shareholders or employees. We agree to make payment to the Commissioner of
Financial Protection and Innovation upon request in accordance with the laws applicable to this industrial loan company,
bank or savings and loan association.

Dated this _____ day of _____, 20_____.

(Name of industrial loan company, bank or savings and loan association)

(Street) (City)
By _____
(Name and Title of Officer)

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PART III. RECEIPT FOR DEPOSIT OF INVESTMENT CERTIFICATES, CERTIFICATES OF DEPOSIT, BANK ACCOUNTS OR SAVINGS AND LOAN SHARE ACCOUNTS AND DIRECTIONS TO PAY EARNINGS

Receipt is acknowledged of the above Assignment and the investment certificates, certificates of deposit, bank accounts or savings and loan share accounts identified therein. The industrial loan company, bank or savings and loan association named in the above Assignment is hereby authorized and directed to pay any earnings which exceed the amount of any penalties which may be assessed on said certificates or account to be above-named Assignor until otherwise in writing by the Commissioner of Financial Protection and Innovation of the State of California.

Dated this _____ day of _____, 20_____.

(Commissioner of Financial Protection and Innovation)

By _____

DFPI's Privacy Notice on Collection

DFPI Collects and Uses Personal Information: The DFPI collects the information requested on this form as authorized by the California Financial Code section 17202.1. The DFPI uses this information for an applicant or a licensee to comply with the surety bond requirements. Use of the personal Information DFPI collects is subject to limitations contained in the Information Practices Act of 1977 and other applicable state and federal laws.

Providing Personal Information Is Voluntary: You do not have to provide the personal information requested. If you do not want to provide personal information, such as your name, home address or home telephone number, you may remain anonymous. However, we may not be able to contact you or help resolve your issue.

When providing information or documents, please do not include unrequested personal information such as a Social Security number, driver's license number, or financial information.

DFPI May Disclose Your Personal Information: We may share your personal information with other federal, state or local law enforcement agencies or a self-regulatory organization as authorized by law. Your personal information may also be disclosed:

- In response to a Public Records Act request, as allowed by the Information Practices Act.
- To another governmental agency as required by state or federal law.
- In response to a court order or administrative order, a subpoena or a search warrant.

Your Access to Your Personal Information: You may review the records maintained by DFPI that contain your personal information. To request access, contact:

DFPI Privacy Officer, 651 Bannon Street, Suite 300, Sacramento, CA 95811, (866) 275-2677.